

Linear separation of connected dominating sets in graphs*

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Abstract

A connected dominating set in a graph is a dominating set of vertices that induces a connected subgraph. Following analogous studies in the literature related to independent sets, dominating sets, and total dominating sets, we study in this paper the class of graphs in which the connected dominating sets can be separated from the other vertex subsets by a linear weight function. More precisely, we say that a graph is connected-domishold if it admits non-negative real weights associated to its vertices such that a set of vertices is a connected dominating set if and only if the sum of the corresponding weights exceeds a certain threshold. We characterize the graphs in this non-hereditary class in terms of a property of the set of minimal cutsets of the graph. We give several characterizations for the hereditary case, that is, when each connected induced subgraph is required to be connected-domishold. The characterization by forbidden induced subgraphs implies that the class properly generalizes two well known classes of chordal graphs, the block graphs and the trivially perfect graphs. Finally, we study certain algorithmic aspects of connected-domishold graphs. Building on connections with minimal cutsets and properties of the derived hypergraphs and Boolean functions, we show that our approach leads to new polynomially solvable cases of the weighted connected dominating set problem.

Keywords: Connected dominating set, connected domination, connected-domishold graph, forbidden induced subgraph characterization, split graph, chordal graph, minimal cutset, minimal separator, 1-Sperner hypergraph, threshold hypergraph, threshold Boolean function, polynomial-time algorithm.

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1 Introduction

1.1 Background

Threshold concepts have been a subject of investigation for various discrete structures, including graphs [18,20,48], Boolean functions [19,22,29,32,53,55], and hypergraphs [34, 58]. A common theme of these studies is a quest for necessary and sufficient conditions for the property that a given combinatorial structure defined over some finite ground set U admits non-negative real weights associated to elements of U such that a subset of U satisfies a certain property, say π , if and only if the sum of the corresponding weights exceeds a certain threshold. A more general framework has also been proposed, where the requirement is that a subset of U satisfies property π if and only if the sum of the corresponding weights belongs to a set T of thresholds given by a membership oracle [50].

Having the set U equipped with weights as above can have useful algorithmic implications. Consider for example the optimization problem of finding a subset of U with property π that has either maximum or minimum cost (according to a given linear cost function on the elements of the ground set). It was shown in [50] that if the weights as above are known and integer, then the problem can be solved by a dynamic programming approach in time $\mathcal{O}(|U|M)$ and with M calls of the membership oracle, where M is a given upper bound for T . The pseudo-polynomial running time should be expected, since the problem is very general and captures also the well-known knapsack problem [41]. Note, however, that the problem admits a much simpler, polynomial-time solution in the special case when the costs are unit and if we assume the monotone framework, where a set satisfies property π as soon as its total weight exceeds a certain threshold. Under these assumptions, a minimum-sized subset of U satisfying property π can be found by a simple greedy algorithm starting with the empty set and adding the elements in order of non-increasing weight until the threshold is exceeded.

Many interesting graph classes can be defined within the above framework, including threshold graphs [20,42,48], domishold graphs [1], total domishold graphs [16,18], equistable graphs [54], and equidominating graphs [54]. In general, the properties of the resulting graph classes depend both on the choice of property π and on the constraints imposed on the structure of the set T of thresholds. For example, if U is the vertex set of a graph, property π denotes the property of being an independent (stable) set in a graph, and T is restricted to be an interval unbounded from below, we obtain the class of threshold graphs, which is a very well understood class of graphs, admitting many characterizations and linear-time algorithms for recognition and various optimization problems (see, e.g., [48]). If π denotes the property of being a dominating set and T is an interval unbounded from above, we obtain the class of domishold graphs, which enjoys similar properties as the class of threshold graphs. On the other hand, if π is the property of being a *maximal* stable set and T is restricted to consist of a single number, we obtain the class of equistable graphs, for which the recognition complexity is open (see, e.g., [47]), no structural characterization is known, and several NP-hard optimization problems remain intractable [50].

Notions and results from the theory of Boolean functions [22] and hypergraphs [2] can

be useful for the study of graph classes defined within the above framework. For instance, the characterization of hereditarily total domishold graphs in terms of forbidden induced subgraphs given in [18] is based on the facts that every threshold Boolean function is 2-asummable [19] and that every dually Sperner hypergraph is threshold [16].¹ Moreover, the fact that threshold Boolean functions are closed under dualization and (when given by their complete DNF) can be recognized in polynomial time [55] leads to efficient algorithms for recognizing total domishold graphs and for finding a minimum total dominating set in a given total domishold graph [16]. The relationship also goes the other way around. For instance, total domishold graphs can be used to characterize threshold hypergraphs and threshold Boolean functions [18].

1.2 Aims and motivation

The aim of this paper is to further explore and exploit this fruitful interplay between threshold concepts in graphs, hypergraphs, and Boolean functions. We do this by studying the class of *connected-domishold* graphs, a new class of graphs that can be defined in the above framework, as follows. A *connected dominating set* (*CD set* for short) in a connected graph G is a set S of vertices of G that is *dominating*, that is, every vertex of G is either in S or has a neighbor in S , and *connected*, that is, the subgraph of G induced by S is connected. The ground set U is the vertex set of a connected graph $G = (V, E)$, property π is the property of being a connected dominating set in G , and T is any interval unbounded from above.

Our motivations for studying the notion of connected domination in the above threshold framework are twofold. First, connected domination is one of the most basic of the many variants of domination, with applications in modeling wireless networks, see, e.g., [6, 11, 12, 26, 27, 31, 35, 36, 60–62, 66]. The connected dominating set problem is the problem of finding a minimum connected dominating set in a given connected graph. This problem is NP-hard (and hard to approximate) for general graphs and remains intractable even under significant restrictions, for instance, for the class of split graphs (see Section 6.2). On the other hand, as outlined above, the problem is polynomially solvable in the class of connected-domishold graphs equipped with weights as in the definition. This motivates the study of connected-domishold graphs. In particular, identification of subclasses of connected-domishold graphs might lead to new classes of graphs where the connected dominating set problem (or its weighted version) is polynomially solvable.

Second, despite the increasingly large variety of graph domination concepts studied in the literature (see, e.g., [35, 36]), so far a relatively small number of “threshold-like” graph classes was studied with respect to notions of domination: the classes of domishold and equidominating graphs (corresponding to the usual domination), the class of equistable graphs (corresponding to independent domination), and the class of total domishold graphs (corresponding to total domination). These graph classes differ significantly with respect to their structural and algorithmic properties. For instance, while the class of domishold graphs is a highly structured hereditary subclass of cographs, the classes of equistable and of total domishold graphs are not contained in any nontrivial hereditary class of graphs and are not structurally understood.² In particular, the class of total domishold graphs is as rich in its combinatorial structure as the class of threshold hypergraphs [18], for which (despite

¹In [16, 18], the hereditarily total domishold graphs were named hereditary total domishold graphs. We prefer to adopt the grammatically correct term “hereditarily total domishold”.

²A class of graphs is said to be *hereditary* if it is closed under vertex deletion.

being recognizable in polynomial time via linear programming [22, 55]) the existence of a “purely combinatorial” polynomial-time recognition algorithm is an open problem [22]. These results, differences, and challenges provide further motivation for the study of structural and algorithmic properties of connected-domishold graphs.

1.3 The definition

Since a disconnected graph G does not have any connected dominating sets, we restrict our attention to connected graphs in the following definition.

Definition 1.1. A connected graph $G = (V, E)$ is said to be *connected-domishold* (CD for short) if there exists a pair (w, t) where $w: V \rightarrow \mathbb{R}_+$ is a weight function and $t \in \mathbb{R}_+$ is a threshold such that for every subset $S \subseteq V$, $w(S) := \sum_{x \in S} w(x) \geq t$ if and only if S is a connected dominating set in G . Such a pair (w, t) will be referred to as a *connected-domishold (CD) structure* of G .

We emphasize that the class of connected-domishold graphs is not the intersection of the classes of connected and domishold graphs. In fact, the two classes are incomparable: the 4-vertex cycle is connected and domishold [1] but not connected-domishold, see Example 1.3 below; the 4-vertex path is connected-domishold but not domishold. The hyphen in the name is meant to indicate this fact.

Example 1.2. The complete graph of order n is connected-domishold. Indeed, any non-empty subset $S \subseteq V(K_n)$ is a connected dominating set of K_n , and the pair $(w, 1)$ where $w(x) = 1$ for all $x \in V(K_n)$ is a CD structure of K_n .

Example 1.3. The 4-cycle C_4 is not connected-domishold. Denoting its vertices by v_1, v_2, v_3, v_4 in a cyclic order, we see that a subset $S \subseteq V(C_4)$ is CD if and only if it contains an edge. Therefore, if (w, t) is a CD structure of C_4 , then $w(v_i) + w(v_{i+1}) \geq t$ for all $i \in \{1, 2, 3, 4\}$ (indices modulo 4), which implies $w(V(C_4)) \geq 2t$. On the other hand, $w(v_1) + w(v_3) < t$ and $w(v_2) + w(v_4) < t$, implying $w(V(C_4)) < 2t$.

1.4 Overview of results

Our results can be divided into four interrelated parts and can be summarized as follows:

1) Characterizations in terms of derived hypergraphs (resp., derived Boolean functions); a necessary and a sufficient condition.

In a previous work [18, Proposition 4.1 and Theorem 4.5], total domishold graphs were characterized in terms of thresholdness of a derived hypergraph and a derived Boolean function. We give similar characterizations of connected-domishold graphs (Proposition 3.4). The characterizations lead to a necessary and a sufficient condition for a graph to be connected-domishold, respectively, expressed in terms of properties of the derived hypergraph (equivalently: of the derived Boolean function; Corollary 3.5).

2) The case of split graphs. A characterization of threshold hypergraphs.

While the classes of connected-domishold and total domishold graphs are in general incomparable, we show that they coincide within the class of connected split graphs (Theorem 4.3). Building on this equivalence, we characterize threshold hypergraphs in terms of the connected-domisholdness property of a derived split graph (Theorem 4.4).

We also give examples of connected split graphs showing that neither of the two conditions for a graph to be connected-domishold mentioned above (one necessary and one sufficient) characterizes this property.

3) The hereditary case.

We observe that, contrary to the classes of threshold and domishold graphs, the class of connected-domishold graphs is not hereditary. This motivates the study of so-called *hereditarily connected-domishold graphs*, defined as graphs every connected induced subgraph of which is connected-domishold. As our main result (Theorem 5.4), we give several characterizations of the class of hereditarily connected-domishold graphs. The characterizations in terms of forbidden induced subgraphs implies that the class of hereditarily connected-domishold graphs is a subclass of the class of chordal graphs properly containing two well known classes of chordal graphs, the class of block graphs and the class of trivially perfect graphs.

4) Algorithmic aspects via vertex separators.

Finally, we build on all these results, together with some known results from the literature on connected dominating sets and minimal vertex separators in graphs, to study certain algorithmic aspects of the class of connected-domishold graphs and their hereditary variant. We identify a sufficient condition, capturing a large number of known graph classes, under which the CD property can be recognized efficiently (Theorem 6.1). We also show that the same condition, when applied to classes of connected-domishold graphs, results in classes of graphs for which the weighted connected dominating set problem (which is NP-hard even on split graphs) is polynomial-time solvable (Theorem 6.5). This includes the classes of hereditarily connected-domishold graphs and F_2 -free split graphs (see Figure 1), leading to new polynomially solvable cases of the problem.

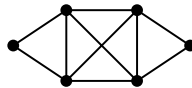


Figure 1: Graph F_2 .

Structure of the paper. In Section 2, we state the necessary definitions and preliminary results on graphs, hypergraphs, and Boolean functions. In Section 3, we give characterizations of connected-domishold graphs in terms of thresholdness of derived hypergraphs and Boolean functions. Connected-domishold split graphs are studied in Section 4, where their relation to threshold hypergraphs is also observed. The main result of the paper, Theorem 5.4, is stated in Section 5, where some of its consequences are also derived. Section 6 discusses some algorithmic aspects of connected-domishold graphs. Our proof of Theorem 5.4 relies on a technical lemma, which is proved in Section 7.

2 Preliminaries

2.1 Graphs

All graphs in this paper will be finite, simple and undirected. The (*open*) *neighborhood* of a vertex v is the set of vertices in a graph G adjacent to v , denoted by $N_G(v)$ (or simply

$N(v)$ if the graph is clear from the context); the *closed neighborhood* of v is denoted by $N_G[v]$ and defined as $N_G(v) \cup \{v\}$. The *degree* of a vertex v in a graph G is the cardinality of its neighborhood. The complete graph, the path and the cycle of order n are denoted by K_n , P_n and C_n , respectively. A *clique* in a graph is a subset of pairwise adjacent vertices, and an *independent* (or *stable*) set is a subset of pairwise non-adjacent vertices. A *universal vertex* in a graph G is a vertex adjacent to all other vertices. For a set S of vertices in a graph G , we denote by $G[S]$ the subgraph of G induced by S . For a set \mathcal{F} of graphs, we say that a graph is \mathcal{F} -free if it does not contain any induced subgraph isomorphic to a member of \mathcal{F} . Given a graph G , a vertex $v \in V(G)$, and a set $U \subseteq V(G) \setminus \{v\}$, we say that v *dominates* U if v is adjacent to every vertex in U .

The main notion that will provide the link between threshold Boolean functions and hypergraphs is that of cutsets in graphs. A *cutset* in a graph G is a set $S \subseteq V(G)$ such that $G - S$ is disconnected. A cutset is *minimal* if it does not contain any other cutset. For a pair of disjoint vertex sets A and B in a graph G such that no vertex in A has a neighbor in B , an A, B -separator is a set of vertices $S \subseteq V(G) \setminus (A \cup B)$ such that A and B are in different components of $G - S$. An A, B -separator is said to be *minimal* if it does not contain any other A, B -separator. When sets A and B are singletons, say $A = \{u\}$ and $B = \{v\}$, we will refer to a (minimal) A, B -separator simply as a (minimal) u, v -separator. A *minimal vertex separator* in G is a minimal u, v -separator for some non-adjacent vertex pair u, v . Note that every minimal cutset of G is a minimal vertex separator, but not vice versa. The minimal cutsets are exactly the minimal u, v -separators that do not contain any other x, y -separator. The connection between the CD graphs and the derived hypergraphs and Boolean functions will be developed in Section 3 using the following characterization of CD sets due to Kanté et al. [38].

Proposition 2.1 (Kanté et al. [38]). *In every connected graph G that is not complete, a subset $D \subseteq V(G)$ is a CD set if and only if $D \cap S \neq \emptyset$ for every minimal cutset S in G .*

In other words, unless a connected graph G is complete, its CD sets are exactly the transversals of the cutset hypergraph of G (see Section 2.3 and Definition 3.2 for definitions of these notions).

A graph G is *chordal* if it does not contain any induced cycle of order at least 4, and *split* if it has a *split partition*, that is, a partition of its vertex set into a clique and an independent set. One of our proofs (the proof of Theorem 5.4) will rely on the following property of chordal graphs.

Lemma 2.2 (Kumar and Veni Madhavan [45]). *If S is a minimal cutset of a chordal graph G , then each connected component of $G - S$ has a vertex that is adjacent to all the vertices of S .*

For graph theoretic notions not defined above, see, e.g., [65].

2.2 Boolean functions

Let n be a positive integer. Given two vectors $x, y \in \{0, 1\}^n$, we write $x \leq y$ if $x_i \leq y_i$ for all $i \in [n] := \{1, \dots, n\}$. A Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is *positive* (or: *monotone*) if $f(x) \leq f(y)$ holds for every two vectors $x, y \in \{0, 1\}^n$ such that $x \leq y$. A *literal* of f is either a variable, x_i , or the negation of a variable, denoted by $\overline{x_i}$. An *elementary conjunction* is an expression of the form $C = (\bigwedge_{i \in A} x_i) \wedge (\bigwedge_{j \in B} \overline{x_j})$ where

$A \cap B = \emptyset$. An *implicant* of a Boolean function f is an elementary conjunction C such that $f(x) = 1$ for all $x \in \{0, 1\}^n$ for which C takes value 1 (we also say that C *implies* f). An implicant is said to be *prime* if it is not implied by any other implicant. If f is positive, then none of the variables appearing in any of its prime implicants appears negated. Every n -variable positive Boolean function f can be expressed with its *complete DNF* (*disjunctive normal form*), defined as the disjunction of all prime implicants of f .

A positive Boolean function f is said to be *threshold* if there exist non-negative real weights $w = (w_1, \dots, w_n)$ and a non-negative real number t such that for every $x \in \{0, 1\}^n$, $f(x) = 0$ if and only if $\sum_{i=1}^n w_i x_i \leq t$. Such a pair (w, t) is called a *separating structure* of f . Every threshold Boolean function admits an integral separating structure (see [22, Theorem 9.5]). A positive Boolean function $f(x_1, \dots, x_n)$ is threshold if and only if its *dual function* $f^d(x) = \overline{f(\overline{x})}$ is threshold [22]; moreover, if (w_1, \dots, w_n, t) is an integral separating structure of f , then $(w_1, \dots, w_n, \sum_{i=1}^n w_i - t - 1)$ is a separating structure of f^d .

Threshold Boolean functions have been characterized in [19] and [29], as follows. A *false point* of f is an input vector $x \in \{0, 1\}^n$ such that $f(x) = 0$; a *true point* is defined analogously. For $k \geq 2$, a positive Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is said to be *k-summable* if, for some $r \in \{2, \dots, k\}$, there exist r (not necessarily distinct) false points of f , say, x^1, x^2, \dots, x^r , and r (not necessarily distinct) true points of f , say y^1, y^2, \dots, y^r , such that $\sum_{i=1}^r x^i = \sum_{i=1}^r y^i$ (note that the sums are in \mathbb{Z}^n and not in \mathbb{Z}_2^n , the n -dimensional vector space over $\text{GF}(2)$). Function f is said to be *k-asummable* if it is not k -summable, and it is *asummable* if it is k -asummable for all $k \geq 2$.

Theorem 2.3 (Chow [19], Elgot [29], see also [22, Theorem 9.14]). *A positive Boolean function f is threshold if and only if it is asummable.*

The problem of determining whether a positive Boolean function given by its complete DNF is threshold is solvable in polynomial time, using dualization and linear programming (see [55] and [22, Theorem 9.16]). The algorithm tests if a polynomially sized derived linear program has a feasible solution, and in case of a yes instance, the solution found yields a separating structure of the given function. Using, e.g., Karmarkar's interior point method for linear programming [39], one can assure that a rational solution is found. This results in a rational separating structure, which can be easily turned into an integral one. We summarize this result as follows.

Theorem 2.4. *There exists a polynomial-time algorithm for recognizing threshold Boolean functions given by the complete DNF. In case of a yes instance, the algorithm also computes an integral separating structure of the given function.*

Remark 2.5. The existence of a “purely combinatorial” polynomial-time recognition algorithm for threshold Boolean functions (that is, one not relying on solving an auxiliary linear program) is an open problem [22].

A similar approach as the one outlined above shows that every connected-domishold graph has an integral CD structure; we will often use this fact in the paper. For further background on Boolean functions, we refer to the comprehensive monograph by Crama and Hammer [22].

2.3 Hypergraphs

A *hypergraph* is a pair $\mathcal{H} = (V, E)$ where V is a finite set of *vertices* and E is a set of subsets of V , called *hyperedges* [2]. When the vertex set or the hyperedge set of \mathcal{H} will not be explicitly given, we will refer to them by $V(\mathcal{H})$ and $E(\mathcal{H})$, respectively. A *transversal* (or: *hitting set*) of \mathcal{H} is a set $S \subseteq V$ such that $S \cap e \neq \emptyset$ for all $e \in E$. A hypergraph $\mathcal{H} = (V, E)$ is *threshold* if there exist a weight function $w: V \rightarrow \mathbb{R}_+$ and a threshold $t \in \mathbb{R}_+$ such that for all subsets $X \subseteq V$, it holds that $w(X) \leq t$ if and only if X contains no hyperedge of \mathcal{H} [34]. Such a pair (w, t) is said to be a *separating structure* of \mathcal{H} .

To every hypergraph $\mathcal{H} = (V, E)$, we can naturally associate a positive Boolean function $f_{\mathcal{H}}: \{0, 1\}^V \rightarrow \{0, 1\}$, defined by the positive DNF expression

$$f_{\mathcal{H}}(x) = \bigvee_{e \in E} \bigwedge_{u \in e} x_u$$

for all $x \in \{0, 1\}^V$. Conversely, to every positive Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ given by a positive DNF $\phi = \bigvee_{j=1}^m \bigwedge_{i \in C_j} x_i$, we can associate a hypergraph $\mathcal{H}(\phi) = (V, E)$ as follows: $V = [n]$ and $E = \{C_1, \dots, C_m\}$. It follows directly from the definitions that the thresholdness of hypergraphs and of Boolean functions are related as follows.

Proposition 2.6. *A hypergraph $\mathcal{H} = (V, E)$ is threshold if and only if the positive Boolean function $f_{\mathcal{H}}$ is threshold. A positive Boolean function given by a positive DNF $\phi = \bigvee_{j=1}^m \bigwedge_{i \in C_j} x_i$ is threshold if and only if the hypergraph $\mathcal{H}(\phi)$ is threshold.*

Applying Theorem 2.3 to the language of hypergraphs gives the following characterization of threshold hypergraphs. For $k \geq 2$, a hypergraph $\mathcal{H} = (V, E)$ is said to be *k-summable* if, for some $r \in \{2, \dots, k\}$, there exist r (not necessarily distinct) subsets A_1, \dots, A_r of V such that each A_i contains a hyperedge of \mathcal{H} , and r (not necessarily distinct) subsets B_1, \dots, B_r of V such that each B_i does not contain a hyperedge of \mathcal{H} and such that for every vertex $v \in V$, we have:

$$|\{i : v \in A_i\}| = |\{i : v \in B_i\}|. \quad (2.1)$$

We say that a hypergraph \mathcal{H} is *k-asummable* if it is not *k-summable* and it is *asummable* if it is *k-asummable* for all $k \geq 2$.

Corollary 2.7. *A hypergraph \mathcal{H} is threshold if and only if it is asummable.*

A hypergraph $\mathcal{H} = (V, E)$ is said to be *Sperner* (or: a *clutter*) if no hyperedge of \mathcal{H} contains another hyperedge, that is, if for every two distinct hyperedges e and f of \mathcal{H} , it holds that $\min\{|e \setminus f|, |f \setminus e|\} \geq 1$. Chiarelli and Milanič defined in [16, 18] the notion of *dually Sperner hypergraphs* as the hypergraphs such that the inequality $\min\{|e \setminus f|, |f \setminus e|\} \leq 1$ holds for every pair of distinct hyperedges e and f of \mathcal{H} . It was proved in [16, 18] that dually Sperner hypergraphs are threshold; they were applied in the characterizations of total domishold graphs and their hereditary variant. Boros et al. introduced in [8] the following restriction of dually Sperner hypergraphs.

Definition 2.8 (Boros et al. [8]). A hypergraph $\mathcal{H} = (V, E)$ is said to be *1-Sperner* if for every two distinct hyperedges e and f of \mathcal{H} , it holds that $\min\{|e \setminus f|, |f \setminus e|\} = 1$.

Note that a hypergraph is 1-Sperner if and only if it is both Sperner and dually Sperner. In particular, for Sperner hypergraphs the notions of dually Sperner and 1-Sperner hypergraphs coincide. Since a hypergraph \mathcal{H} is threshold if and only if the Sperner hypergraph obtained from \mathcal{H} by keeping only its inclusion-wise minimal hyperedges is threshold, the fact that dually Sperner hypergraphs are threshold is equivalent to the following fact, proved constructively by Boros et al. in [8] using a composition result for 1-Sperner hypergraphs developed therein.

Theorem 2.9 (Chiarelli and Milanič [18], Boros et al. [8]). *Every 1-Sperner hypergraph is threshold.*

3 Connected-domishold graphs via hypergraphs and Boolean functions

In a previous work [18, Proposition 4.1 and Theorem 4.5], total domishold graphs were characterized in terms of thresholdness of a derived hypergraph and a derived Boolean function. In this section we give similar characterizations of connected-domishold graphs.

We first recall some relevant definitions and a result from [18]. A *total dominating set* in a graph G is a set $S \subseteq V(G)$ such that every vertex of G has a neighbor in S . Note that only graphs without isolated vertices have total dominating sets. A graph $G = (V, E)$ is said to be *total domishold* (TD for short) if there exists a pair (w, t) where $w: V \rightarrow \mathbb{R}_+$ is a weight function and $t \in \mathbb{R}_+$ is a threshold such that for every subset $S \subseteq V$, $w(S) := \sum_{x \in S} w(x) \geq t$ if and only if S is a total dominating set in G . A pair (w, t) as above will be referred to as a *total domishold (TD) structure* of G . The *neighborhood hypergraph* of a graph G is the hypergraph denoted by $\mathcal{N}(G)$ and defined as follows: the vertex set of $\mathcal{N}(G)$ is $V(G)$ and the hyperedge set consists precisely of the *minimal neighborhoods* in G , that is, of the inclusion-wise minimal sets in the family of neighborhoods $\{N(v) : v \in V(G)\}$.³ Note that a set $S \subseteq V(G)$ is a total dominating set in G if and only if it is a transversal of $\mathcal{N}(G)$.

Proposition 3.1 (Chiarelli and Milanič [18]). *For a graph $G = (V, E)$, the following are equivalent:*

1. G is total domishold.
2. Its neighborhood hypergraph $\mathcal{N}(G)$ is threshold.

The constructions of the derived hypergraph and the derived Boolean function used in our characterizations of connected-domishold graphs in terms of their thresholdness are specified by Definitions 3.2 and 3.3.

Definition 3.2. Given a graph G , the *cutset hypergraph* of G is the hypergraph $\mathcal{C}(G)$ with vertex set $V(G)$ whose hyperedges are precisely the minimal cutsets in G .

Given a finite non-empty set V , we denote by $\{0, 1\}^V$ the set of all binary vectors with coordinates indexed by V . Given a graph $G = (V, E)$ and a binary vector $x \in \{0, 1\}^V$, its *support set* is the set denoted by $S(x)$ and defined by $S(x) = \{v \in V : x_v = 1\}$. In

³In [18], the neighborhood hypergraph of G was named *reduced neighborhood hypergraph* (of G) and denoted by $\mathcal{RN}(G)$. We changed the terminology in analogy with the term “cutset hypergraph”, which will be introduced shortly.

the following definition, we associate a Boolean function to a given n -vertex graph G . In order to avoid fixing a bijection between its vertex set and the set $[n]$, we will consider the corresponding Boolean function as being defined on the set $\{0, 1\}^V$, where $V = V(G)$. Accordingly, a separating structure of such a Boolean function can be seen as a pair (w, t) where $w: V \rightarrow \mathbb{R}^+$ and $t \in \mathbb{R}^+$ such that for every $x \in \{0, 1\}^V$, we have $f(x) = 0$ if and only if $\sum_{v \in S(x)} w(v) \leq t$.

Definition 3.3. Given a graph $G = (V, E)$, its *cutset function* is the positive Boolean function $f_G^{cut}: \{0, 1\}^V \rightarrow \{0, 1\}$ that takes value 1 precisely on vectors $x \in \{0, 1\}^V$ whose support set contains some minimal cutset of G .

The announced characterizations of connected-domishold graphs in terms of their cutset hypergraphs and cutset functions are given in the following proposition. The proof is based on two ingredients: the characterization of the connected dominating sets of a given connected (non-complete) graph given by Proposition 2.1 and the fact that threshold Boolean functions are closed under dualization.

Proposition 3.4. For a connected graph $G = (V, E)$, the following are equivalent:

1. G is connected-domishold.
2. Its cutset hypergraph $\mathcal{C}(G)$ is threshold.
3. Its cutset function f_G^{cut} is threshold.

Moreover, if G is not a complete graph and (w, t) is an integral separating structure of f_G^{cut} or of $\mathcal{C}(G)$, then $(w, w(V) - t)$ is a CD structure of G .

Proof. We consider two cases, depending on whether G is a complete graph or not.

Case 1: G is complete.

In this case all the three statements hold. Recall that every complete graph is CD (see Example 1.2). Since complete graphs have no cutsets, the set of hyperedges of the cutset hypergraph $\mathcal{C}(G)$ is empty. Hence the hypergraph $\mathcal{C}(G)$ is threshold. The absence of (minimal) cutsets also implies that the cutset function f_G^{cut} is constantly equal to 0 and hence threshold.

Case 2: G is not complete.

First we will show the equivalence between statements 1 and 3. Since a positive Boolean function f is threshold if and only if its dual function $f^d(x) = \overline{f(\overline{x})}$ is threshold, it suffices to argue that G is connected-domishold if and only if $(f_G^{cut})^d$ is threshold.

We claim that for every $x \in \{0, 1\}^V$, we have $(f_G^{cut})^d(x) = 1$ if and only if $S(x)$, the support set of x , is a connected dominating set of G . Let $x \in \{0, 1\}^V$ and let S be the support set of x . By definition, $(f_G^{cut})^d(x) = 1$ if and only if $f_G^{cut}(\overline{x}) = 0$, which is the case if and only if $V \setminus S$ does not contain any minimal cutset of G . This is in turn equivalent to the condition that S is a transversal of the cutset hypergraph of G , and, by Proposition 2.1, to the condition that S is a connected dominating set of G . Therefore, $(f_G^{cut})^d(x) = 1$ if and only if S is a connected dominating set of G , as claimed.

Now, if G is connected-domishold, then it has an integral connected-domishold structure, say (w, t) , and $(w, t - 1)$ is a separating structure of the dual function $(f_G^{cut})^d$, which

implies that $(f_G^{cut})^d$ is threshold. Conversely, if the dual function is threshold, with an integral separating structure (w, t) , then $(w, t + 1)$ is a connected-domishold structure of G . This establishes the equivalence between statements 1 and 3.

Next, we show the equivalence between statements 2 and 3. Note that the complete DNF of f_G^{cut} , the cutset function of G , is given by the expression $\bigvee_{S \in \mathcal{C}(G)} \bigwedge_{u \in S} x_u$. It now follows directly from the definitions of threshold Boolean functions and threshold hypergraphs that function $f_G^{cut}(x)$ is threshold if and only if cutset hypergraph $\mathcal{C}(G)$ is threshold.

Finally, if (w, t) is an integral separating structure of f_G^{cut} , then $(w, w(V) - t - 1)$ is a separating structure of $(f_G^{cut})^d$ and hence $(w, w(V) - t)$ is a connected-domishold structure of G . \square

Recall that every 1-Sperner hypergraph is threshold (Theorem 2.9) and every threshold hypergraph is assumable (Corollary 2.7). Thus, in particular, every threshold hypergraph is 2-assumable. Applying these relations to the specific case of the minimal cutset hypergraphs, Proposition 3.4 leads to the following.

Corollary 3.5. *For every connected graph G , the following holds:*

1. *If the cutset hypergraph $\mathcal{C}(G)$ is 1-Sperner, then G is connected-domishold.*
2. *If G is connected-domishold, then its cutset hypergraph $\mathcal{C}(G)$ is 2-assumable.*

We will show in Section 4.1 that neither of the two statements in Corollary 3.5 can be reversed. On the other hand, in Section 5 we will prove that all the three properties become equivalent in the hereditary setting.

4 Connected-domishold split graphs

The following examples show that for general connected graphs, the CD and TD properties are incomparable:

- The path P_6 is connected-domishold (it has a unique minimal connected dominating set, formed by its internal vertices) but it is not total domishold (see, e.g., [18]).
- The graph in Figure 2 is TD but not CD.

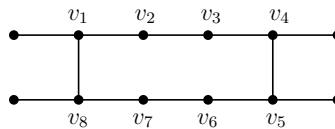


Figure 2: A TD graph that is not CD.

The graph is total domishold: it has a unique minimal total dominating set, namely $\{v_1, v_4, v_5, v_8\}$. On the other hand, the graph is not connected-domishold. This can be shown by observing that its cutset hypergraph is not 2-assumable and applying Corollary 3.5. To see that the cutset hypergraph of G is 2-summarable, note that condition (2.1) is satisfied if we take $k = r = 2$ and $A_1 = \{v_2, v_7\}$, $A_2 = \{v_3, v_6\}$, $B_1 = \{v_2, v_3\}$, and $B_2 = \{v_6, v_7\}$.

Interestingly, we will show in Section 5 that if the CD and TD properties are required also for all connected induced subgraphs, then the corresponding graph classes become comparable (see Corollary 5.9). In the rest of this section, we will prove that the two properties coincide in the class of connected split graphs and examine some consequences of this result. Recall that a graph is split if and only if its vertex set has a partition into a clique and an independent set. Foldes and Hammer characterized split graphs as exactly the graphs that are $\{2K_2, C_4, C_5\}$ -free [30]. In particular, this implies that a split graph can be disconnected only if it has an isolated vertex.

Lemma 4.1. *Let G be a connected graph and let G' be the graph obtained from G by adding to it a universal vertex. Then, G is connected-domishold if and only if G' is connected-domishold.*

Proof. Let $V(G') = V(G) \cup \{u\}$, where u is the added vertex. Suppose that G is connected-domishold and let (w, t) be a CD structure of G . Since the set of connected dominating sets of G' consists of all connected dominating sets of G together with all subsets of $V(G')$ containing u , we can obtain a CD structure, say (w', t') , of G' by setting $w'(x) = w(x)$ for all $x \in V(G)$, $w'(u) = t$, and $t' = t$. Therefore, G' is connected-domishold.

Conversely, if (w', t') is a CD structure of G' , then (w, t) where $t = t'$ and w is the restriction of w' to $V(G)$ is a CD structure of G . This is because a set $X \subseteq V(G)$ is a connected dominating set of G if and only if it is a connected dominating set of G' . Therefore, if G' is connected-domishold then so is G . \square

Recall that given a connected graph G , we denote by $\mathcal{C}(G)$ (resp., $\mathcal{N}(G)$) its cutset (resp., neighborhood) hypergraph.

Lemma 4.2. *Let G be a connected split graph without universal vertices. Then*

$$\mathcal{C}(G) = \mathcal{N}(G).$$

Proof. Fix a split partition of $V(G)$, say $V(G) = K \cup I$ where K is a clique, I is an independent set, and $K \cap I = \emptyset$. Clearly, the hypergraphs $\mathcal{C}(G)$ and $\mathcal{N}(G)$ have the same vertex set. We show that the hyperedge sets are also the same in two steps.

First, we show that $E(\mathcal{C}(G)) \subseteq E(\mathcal{N}(G))$, that is, that every minimal cutset is a minimal neighborhood. To this end, it suffices to show that every minimal cutset S in G is a neighborhood, that is, a set of the form $S = N(v)$ for some $v \in V(G)$. This is indeed enough, because if a minimal cutset S in G satisfies $S = N(v)$ for some $v \in V(G)$, but $N(v)$ properly contains some other neighborhood, say $N(u)$, then the fact that $N(u)$ is a cutset in G (for instance, it is a u, v -separator) would imply that S is not a minimal cutset.

Let S be a minimal cutset in G . Then, S is a minimal u, v -separator for some non-adjacent vertex pair u, v ; in particular, $S \subseteq V(G) \setminus \{u, v\}$. We claim that $N(u) \subseteq S$ or $N(v) \subseteq S$. Suppose that this is not the case. Then, there exist a neighbor of u , say u' , such that $u' \notin S$, and a neighbor of v , say v' , such that $v' \notin S$. Since $\{u, v, u', v'\} \subseteq V(G) \setminus S$ and u and v are in different components of $G - S$, vertices u' and v' are distinct and non-adjacent. Thus, at least one of u' and v' , say u' , is in I . This implies that $u \in K$ and therefore $v \in I$, which implies that $v' \in K$ and hence (u, v', v) is a u, v -path in $G - S$, a contradiction. This shows that $N(u) \subseteq S$ or $N(v) \subseteq S$, as claimed. Since each of $N(u)$ and $N(v)$ is a u, v -separator, the fact that S is a minimal u, v -separator implies that $S \in \{N(u), N(v)\}$. This completes the proof of the inclusion $E(\mathcal{C}(G)) \subseteq E(\mathcal{N}(G))$.

It remains to show that $E(\mathcal{N}(G)) \subseteq E(\mathcal{C}(G))$. Let S be a minimal neighborhood in G . Then $S = N(v)$ for some $v \in V(G)$. Since v is not universal, the set $V(G) \setminus N[v]$ is non-empty. Therefore S is a v, w -separator for any $w \in V(G) \setminus N[v]$; in particular, S is a cutset in G . Suppose for a contradiction that S is not a minimal cutset in G . Then S properly contains some minimal cutset, say S' , in G . By the first part of the proof, S' is of the form $S' = N(z)$ for some $z \in V(G)$. However, since $N(z)$ is a neighborhood properly contained in $S = N(v)$, this contradicts the fact that S is a minimal neighborhood. \square

Theorem 4.3. *A connected split graph is connected-domishold if and only if it is total domishold.*

Proof. If G is complete, then G is both connected-domishold and total domishold. So we may assume that G is not complete. More generally, we show next that we may assume that G does not have any universal vertices. Suppose that G has a universal vertex, say u , and let $G' = G - u$. By [18, Proposition 3.3], G is TD if and only if G' is TD. If G' is not connected, then $\{u\}$ is the only minimal connected dominating set of G and hence G is connected-domishold in this case. Furthermore, G is also total domishold: since G' is a disconnected $2K_2$ -free graph, G' has an isolated vertex. Therefore, by [18], G' is TD, and hence so is G . If G' is connected, then by Lemma 4.1, G is CD if and only if G' is CD. Therefore, the problem of verifying whether the CD and the TD properties are equivalent for G reduces to the same problem for G' . An iterative application of the above argument eventually reduces the graph to either a graph where both properties hold or to a connected graph without universal vertices.

Now, let G be a connected split graph without universal vertices. By Proposition 3.4, G is connected-domishold if and only if its cutset hypergraph $\mathcal{C}(G)$ is threshold. By Proposition 3.1, G is total domishold if and only if its neighborhood hypergraph $\mathcal{N}(G)$ is threshold. Therefore, to prove the theorem it suffices to show that $\mathcal{C}(G) = \mathcal{N}(G)$. But this was established in Lemma 4.2. \square

Theorem 4.3 implies another relation between connected-domishold (split) graphs and threshold hypergraphs, one that in a sense reverses the one stated in Proposition 3.4. Given a hypergraph $\mathcal{H} = (V, E)$, the *split-incidence graph* of \mathcal{H} (see, e.g., [38]) is the split graph G such that $V(G) = V \cup E$, V is a clique, E is an independent set, and $v \in V$ is adjacent to $e \in E$ if and only if $v \in e$.

Theorem 4.4. *Let $\mathcal{H} = (V, E)$ be a hypergraph with $\emptyset \notin E$. Then \mathcal{H} is threshold if and only if its split-incidence graph is connected-domishold.*

Proof. Since $\emptyset \notin E$, the split-incidence graph of \mathcal{H} is connected. It was shown in [18] that a hypergraph is threshold if and only if its split-incidence graph is total domishold. The statement of the theorem now follows from Theorem 4.3. \square

It might be worth pointing out that in view of Remark 2.5 and Theorem 4.4, it is an open problem of whether there is a “purely combinatorial” polynomial-time algorithm for recognizing connected-domishold split graphs. Further issues regarding the recognition problem of CD graphs will be discussed in Section 6.1.

4.1 Examples related to Corollary 3.5

We now show that neither of the two statements in Corollary 3.5 can be reversed. First we exhibit an infinite family of CD split graphs whose cutset hypergraphs are not 1-Sperner.

Example 4.5. Let $n \geq 4$ and let $G = K_n^*$ be the graph obtained from the complete graph K_n by gluing a triangle on every edge. Formally,

$$\begin{aligned} V(G) &= \{u_1, \dots, u_n\} \cup \{v_{ij} : 1 \leq i < j \leq n\} \text{ and} \\ E(G) &= \{u_i u_j : 1 \leq i < j \leq n\} \cup \{u_i v_{jk} : 1 \leq j < k \leq n \text{ and } i \in \{j, k\}\}. \end{aligned}$$

The graph G is a CD graph: setting

$$w(x) = \begin{cases} 1, & \text{if } x \in \{u_1, \dots, u_n\}; \\ 0, & \text{otherwise.} \end{cases}$$

and $t = n - 1$ results in a CD structure of G . On the other hand, the cutset hypergraph of G is not 1-Sperner. Since every pair of the form $\{u_i, u_j\}$ with $1 \leq i < j \leq n$ is a minimal cutset of G , the cutset hypergraph contains $\{u_1, u_2\}$ and $\{u_3, u_4\}$ as hyperedges and is therefore not 1-Sperner.

Next, we argue that there exists a split graph G whose cutset hypergraph is 2-asummable but G is not CD. As observed already in [18], the fact that not every 2-asummable positive Boolean function is threshold can be used to construct split graphs G such that $\mathcal{N}(G)$ is 2-asummable and G is not total domishold. The existence of split graphs with claimed properties now follows from Theorem 4.3 and its proof. For the sake of self-containment, we describe an example of such a construction in Appendix A.

5 The hereditary case

In this section we present the main result of this paper, Theorem 5.4, which gives several characterizations of graphs all connected induced subgraphs of which are CD, and derive some of its consequences. The proof of Theorem 5.4 relies on a technical lemma about chordal graphs, which will be proved in Section 7.

We start with an example showing that, contrary to the classes of threshold and domishold graphs, the class of connected-domishold graphs is not hereditary. We assume notation from Example 1.3.

Example 5.1. The graph G obtained from C_4 by adding to it a new vertex, say v_5 , and making it adjacent exactly to one vertex of the C_4 , say to v_4 , is CD: the (inclusion-wise) minimal CD sets of G are $\{v_1, v_4\}$ and $\{v_3, v_4\}$, hence a CD structure of G is given by $w(v_2) = w(v_5) = 0$, $w(v_1) = w(v_3) = 1$, $w(v_4) = 2$, and $t = 3$.

This motivates the following definition.

Definition 5.2. A graph G is said to be *hereditarily connected-domishold* (*hereditarily CD* for short) if every connected induced subgraph of G is connected-domishold.

In general, for a property Π of connected graphs, a graph is said to be *hereditarily Π* if every connected induced subgraph of it satisfies Π . Characterizations of classes of hereditarily Π graphs where Π denotes the property that the graph has a connected dominating set

inducing a graph with a certain property Π' were given, for various choices of property Π' , by Michalak in [49]. In [57], Pržulj et al. gave characterizations of hereditarily Π graphs where Π denotes the property that the graph has a dominating pair of vertices (that is, a pair of vertices such that every path between them is dominating). The class of hereditarily connected-domishold graphs corresponds to the case when Π is the property of being connected-domishold.

In order to state the technical lemma to be used in the proof of Theorem 5.4, we need some terminology. A *diamond* is a graph obtained from K_4 by deleting an edge. Given a diamond D , we will refer to its vertices of degree two as its *tips* and denote them as t and t' , and to its vertices of degree three as its *centers* and denote them as c and c' . The respective vertex sets will be denoted by T and C . Similar notation will be used for diamonds denoted by D_1 or D_2 .

Lemma 5.3 (Diamond Lemma). *Let G be a connected chordal graph. Suppose that G contains two induced diamonds $D_1 = (V_1, E_1)$ and $D_2 = (V_2, E_2)$ such that:*

- (i) $C_1 \cap C_2 = \emptyset$.
- (ii) *If no vertex in C_1 is adjacent to a vertex in C_2 , then there exists a C_1, C_2 -separator in G of size one.*
- (iii) *For each $j \in \{1, 2\}$ the tips (i.e., t_j, t'_j) of D_j belong to different components of $G - C_j$.*
- (iv) *For $j \in \{1, 2\}$ every component of $G - C_j$ has a vertex that dominates C_j .*

Then G has an induced subgraph isomorphic to F_1, F_2 , or H_i for some $i \geq 1$, where the graphs F_1, F_2 , and a general member of the family $\{H_i\}$ are depicted in Figure 3.

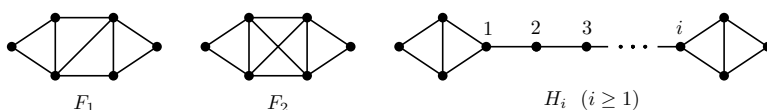


Figure 3: Graphs F_1, F_2 , and H_i .

The proof of Lemma 5.3 is postponed to Section 7.

Theorem 5.4. *For every graph G , the following are equivalent:*

1. G is hereditarily connected-domishold.
2. The cutset hypergraph of every connected induced subgraph of G is 1-Sperner.
3. The cutset hypergraph of every connected induced subgraph of G is threshold.
4. The cutset hypergraph of every connected induced subgraph of G is 2-asummable.
5. G is an $\{F_1, F_2, H_1, H_2, \dots\}$ -free chordal graph.

Proof. The equivalence between items 1 and 3 follows from Proposition 3.4.

The implications $2 \Rightarrow 1 \Rightarrow 4$ follow from Corollary 3.5.

For the implication $4 \Rightarrow 5$, we only need to verify that the cutset hypergraph of none of the graphs in the set $\mathcal{F} := \{C_k : k \geq 4\} \cup \{F_1, F_2\} \cup \{H_i : i \geq 1\}$ is 2-asummable. Let $F \in \mathcal{F}$. Suppose first that F is a cycle C_k for some $k \geq 4$, let u_1, u_2, u_3, u_4 be four consecutive vertices on the cycle. Let $A_1 = \{u_1, u_3\}$, $A_2 = \{u_2, u_4\}$, $B_1 = \{u_1, u_2\}$ and $B_2 = \{u_3, u_4\}$. Then, A_1 and A_2 are minimal cutsets of F and thus hyperedges of the hypergraph $\mathcal{C}(F)$, while B_1 and B_2 do not contain any minimal cutset of F and are consequently independent sets in the hypergraph $\mathcal{C}(F)$. Since the sets A_1, A_2, B_1 and B_2 satisfy condition (2.1), this implies that the hypergraph $\mathcal{C}(F)$ is 2-summable. If $F \in \{F_1, F_2\} \cup \{H_i : i \geq 1\}$, then let a and b be the two vertices of degree 2 in F , let $N(a) = \{a_1, a_2\}$, $N(b) = \{b_1, b_2\}$, let $A_1 = N(a)$, $A_2 = N(b)$, $B_1 = \{a_1, b_1\}$ and $B_2 = \{a_2, b_2\}$. The rest of the proof is the same as above.

It remains to show the implication $5 \Rightarrow 2$. Suppose that the implication fails and let G be a minimal counterexample. That is, G is an $\{F_1, F_2, H_1, H_2, \dots\}$ -free chordal graph such that its cutset hypergraph is not 1-Sperner, but the cutset hypergraph of every $\{F_1, F_2, H_1, H_2, \dots\}$ -free chordal graph with fewer vertices than G is 1-Sperner. Since $\mathcal{C}(G)$ is not 1-Sperner, G has two minimal cutsets, say S and S' , such that $\min\{|S \setminus S'|, |S' \setminus S|\} \geq 2$. The minimality of G implies that the empty set is not a minimal cutset, hence G is connected. Furthermore, the minimality ensures that S and S' are disjoint sets (otherwise one can remove $S \cap S'$ from G and have a smaller counterexample). Thus, $\min\{|S|, |S'|\} \geq 2$. The minimality also ensures that $|S| = |S'| = 2$. Indeed, removing a third vertex z , if present, from S does not affect the minimal cutset status of S . Since every minimal cutset in a chordal graph is a clique [25], removing a third vertex z , if present, from S will also not affect the minimal cutset status of S' since the entire S (which is a clique) is present in one component of $G - S'$.

The minimality also ensures that if there are no edges between S and S' , then every minimal S, S' -separator T is of size one. Indeed, if this is not the case, then $|T| \geq 2$ since G is connected. Let X be a component of $G - S$ containing S' and let Y be any other component of $G - S$. The fact that T separates S from S' implies that T contains all vertices in $N(S) \cap V(X)$, which is a non-empty set due to the minimality of S . Since T is a minimal cutset in a chordal graph, it is a clique; in particular, it is fully contained in X . However, this implies that the sets S' and T are minimal cutsets in the graph $G - V(Y)$ such that $\min\{|S' \setminus T|, |T \setminus S'|\} \geq 2$, contrary to the minimality of G .

Let X, Y be two distinct components of $G - S$ and X', Y' two distinct components of $G - S'$. By Lemma 2.2, there exist vertices $x \in X$ and $y \in Y$ such that each of x and y dominates S and $x' \in X'$ and $y' \in Y'$ such that each of x' and y' dominates S' . Let D_1 be the subgraph of G induced by $S \cup \{x, y\}$ and let D_2 be the subgraph of G induced by $S' \cup \{x', y'\}$. The definitions of D_1 and D_2 and Lemma 2.2 imply that D_1 and D_2 are two induced diamonds in G satisfying the hypotheses of the Diamond Lemma (Lemma 5.3). Consequently, G has an induced subgraph isomorphic to F_1, F_2 , or H_i for some $i \geq 1$, a contradiction. This completes the proof of the theorem. \square

Remark 5.5. The cutset hypergraph of a disconnected graph H is equal to $(V(H), \{\emptyset\})$ and is clearly 1-Sperner (and therefore also threshold and 2-asummable). It follows that conditions from items 2–4 in Theorem 5.4 are equivalent to the analogous conditions in which the respective properties are imposed on cutset hypergraphs of all induced subgraphs of G (and not only of connected ones).

In the rest of this section, we examine some of the consequences of the forbidden induced subgraph characterization of hereditarily CD graphs given by Theorem 5.4. The *kite* (also known as the *co-fork* or the *co-chair*) is the graph depicted in Figure 4.

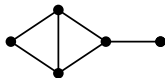


Figure 4: The kite.

The equivalence between items 1 and 5 in Theorem 5.4 implies that the class of hereditarily CD graphs is a proper generalization of the class of kite-free chordal graphs.

Corollary 5.6. *Every kite-free chordal graph is hereditarily CD.*

Corollary 5.6 further implies that the class of hereditarily CD graphs generalizes two well known classes of chordal graphs, the class of block graphs and the class of trivially perfect graphs. A graph is said to be a *block graph* if every block (maximal connected subgraph without cut vertices) of it is complete. The block graphs are well known to coincide with the diamond-free chordal graphs. A graph G is said to be *trivially perfect* [33] if for every induced subgraph H of G , it holds $\alpha(H) = |\mathcal{K}(H)|$, where $\alpha(H)$ denotes the *independence number* of H (that is, the maximum size of an independent set in H) and $\mathcal{K}(H)$ denotes the set of all maximal cliques of H . Trivially perfect graphs coincide with the so-called *quasi-threshold graphs* [67], and are exactly the $\{P_4, C_4\}$ -free graphs [33].

Corollary 5.7. *Every block graph is hereditarily CD. Every trivially perfect graph is hereditarily CD.*

Another class of graphs contained in the class of hereditarily CD graphs is the class of graphs defined similarly as the hereditarily CD graphs but with respect to total dominating sets. These so-called *hereditarily total domishold graphs* (abbreviated *hereditarily TD graphs*) were studied in [18], where characterizations analogous to those given by Theorem 5.4 were obtained, including the following characterization in terms of forbidden induced subgraphs.

Theorem 5.8 (Chiarelli and Milanič [18]). *For every graph G , the following are equivalent:*

1. G is hereditarily total domishold.
2. No induced subgraph of G is isomorphic to a graph in Figure 5.

Theorems 5.4 and 5.8 imply the following.

Corollary 5.9. *Every hereditarily TD graph is hereditarily CD.*

Proof. It suffices to verify that each of the forbidden induced subgraphs for the class of hereditarily connected-domishold graphs contains one of the graphs from Figure 5 as induced subgraph. A cycle C_k with $k \geq 4$ contains (or is equal to) one of C_4, C_5, C_6, P_6 . The graphs F_1 and F_2 are contained in both sets of forbidden induced subgraphs. Finally, each graph of the form H_i where $i \geq 1$ contains $2K_3$ as induced subgraph. \square

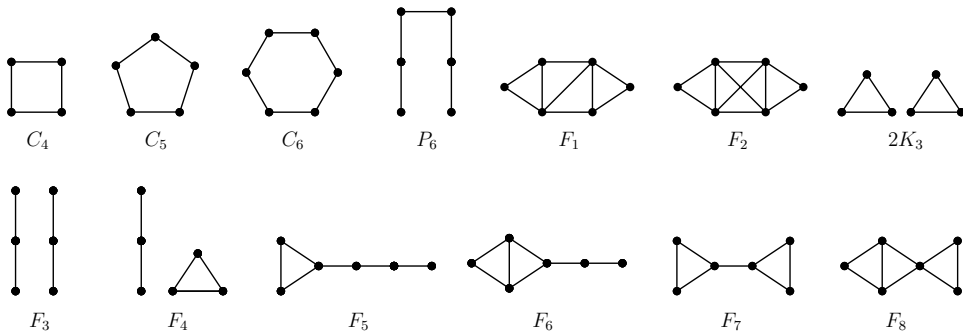


Figure 5: The set of forbidden induced subgraphs for the class of hereditarily total domishold graphs.

Since a graph is split if and only if it is $\{2K_2, C_4, C_5\}$ -free and each of the forbidden induced subgraphs for the class of hereditarily total domishold graphs other than F_2 contains either $2K_2$, C_4 , or C_5 as induced subgraph, Corollary 5.9 implies the following.

Corollary 5.10. *Every F_2 -free split graph is hereditarily CD.*

Figure 6 shows a Hasse diagram depicting the inclusion relations among the class of hereditarily connected-domishold graphs and several other, well studied graph classes. All definitions of graph classes depicted in Figure 6 and the relations between them can be found in [23], with the exception of hereditarily CD and hereditarily TD graphs. The fact that every co-domishold graph is hereditarily TD and that every hereditarily TD graph is $(1, 2)$ -polar chordal was proved in [18]. The remaining inclusion and non-inclusion relations can be easily verified using the forbidden induced subgraph characterizations of the depicted graph classes, see [10, 23, 34].

6 Algorithmic aspects via vertex separators

In this section, we build on the above results, together with some known results from the literature on connected dominating sets and minimal vertex separators in graphs, to study certain algorithmic aspects of the class of connected-domishold graphs and its hereditary variant.

6.1 The recognition problems

We start with computational complexity aspects of the problems of recognizing whether a given graph is CD, resp. hereditarily CD. For general graphs, the computational complexity of recognizing connected-domishold graphs is not known. However, we will now show that the hypergraph approach outlined in Section 3 leads to a sufficient condition for the problem to be polynomially solvable, capturing a large number of graph classes. The condition is expressed using the notion of minimal vertex separators. Recall that a u, v -separator (for a pair of non-adjacent vertices u, v) is a set $S \subseteq V(G) \setminus \{u, v\}$ such that u and v are in different components of $G - S$ and that a u, v -separator is minimal if it does not contain any other u, v -separator. Recall also that a *minimal vertex separator* in G is a minimal u, v -separator for some non-adjacent vertex pair u, v .

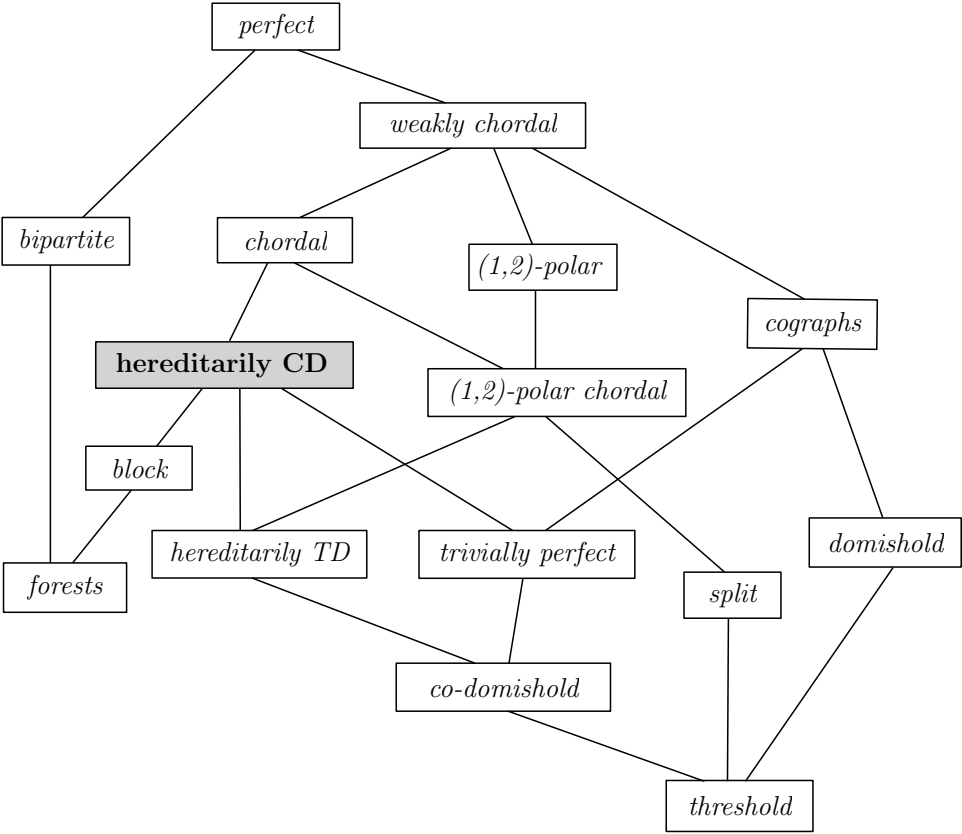


Figure 6: A Hasse diagram depicting the inclusion relations within several families of perfect graphs, focused around the class of hereditarily connected-domishold graphs.

A sufficient condition for the polynomial-time solvability of the recognition problem for CD graphs in a class of graphs \mathcal{G} is that there exists a polynomial p such that every connected graph $G \in \mathcal{G}$ has at most $p(|V(G)|)$ minimal vertex separators. This is the case for chordal graphs, which have at most $|V(G)|$ minimal vertex separators [59], as well as for many other classes of graphs, including permutation graphs, circle graphs, circular-arc graphs, chordal bipartite graphs, trapezoid graphs, cocomparability graphs of bounded dimension, distance-hereditary graphs, and weakly chordal graphs (see, e.g., [9, 43, 51]). For a polynomial p , let \mathcal{G}_p be the class of graphs with at most $p(|V(G)|)$ minimal vertex separators. Since every minimal cutset is a minimal vertex separator, every connected graph $G \in \mathcal{G}_p$ has at most $p(|V(G)|)$ minimal cutsets.

It is known that the set of all minimal vertex separators of a given connected n -vertex graph can be enumerated in output-polynomial time. More precisely, Berry et al. [3] have developed an algorithm solving this problem in time $\mathcal{O}(n^3|\Sigma|)$ where Σ is the set of all minimal vertex separators of G , improving on earlier (independently achieved) running times of $\mathcal{O}(n^5|\Sigma|)$ due to Shen and Liang [63] and Kloks and Kratsch [44]. Based on these results, we derive the following.

Theorem 6.1. *For every polynomial p there is a polynomial-time algorithm to determine whether a given connected graph $G \in \mathcal{G}_p$ is connected-domishold. In case of a yes instance, the algorithm also computes an integral CD structure of G .*

Proof. Let $G = (V, E) \in \mathcal{G}_p$ be a connected graph that is the input to the algorithm.

The algorithm proceeds as follows. If G is complete, then G is connected-domishold and an integral CD structure of G is returned, say (w, t) with $w(x) = 1$ for all $x \in V(G)$ and $t = 1$. Assume now that G is not complete. First, using the algorithm of Berry et al. [3], we compute in time $\mathcal{O}(|V(G)|^3 p(|V(G)|))$ the set Σ of all minimal vertex separators of G . Next, the cutset hypergraph, $\mathcal{C}(G)$, is computed by comparing each pair of sets in Σ and discarding the non-minimal ones. Since $\mathcal{C}(G)$ is Sperner, there is a bijective correspondence between the hyperedges of $\mathcal{C}(G)$ and the prime implicants of the cutset function f_G^{cut} ; this yields the complete DNF of f_G^{cut} . Finally, we run the algorithm given by Theorem 2.4 on the complete DNF of f_G^{cut} . If f_G^{cut} is not threshold, then we conclude that G is not connected-domishold. Otherwise, the algorithm returned an integral separating structure, say (w, t) , of f_G^{cut} . In this case we return $(w, w(V) - t)$ as a CD structure of G .

It is clear that the algorithm runs in polynomial time. Its correctness follows from Proposition 3.4. \square

Let $\tilde{\mathcal{G}}$ be the largest hereditary graph class such that a connected graph $G \in \tilde{\mathcal{G}}$ is connected-domishold if and only if it is total domishold. By Theorem 4.3, class $\tilde{\mathcal{G}}$ is a generalization of the class of split graphs. Since there is a polynomial-time algorithm for recognizing total domishold graphs [16, 18], there is a polynomial-time algorithm to determine whether a given connected graph $G \in \tilde{\mathcal{G}}$ is connected-domishold. This motivates the following question (which we leave open).

Question. *What is the largest hereditary graph class $\tilde{\mathcal{G}}$ such that a connected graph $G \in \tilde{\mathcal{G}}$ is connected-domishold if and only if it is total domishold?*

A polynomial-time recognition algorithm for the class of hereditarily CD graphs can be derived from the characterization of hereditarily CD graphs in terms of forbidden induced subgraphs given by Theorem 5.4.

Proposition 6.2. *There exists a polynomial-time algorithm to determine whether a given graph G is hereditarily CD. In the case of a yes instance, an integral CD structure of G can be computed in polynomial time.*

Proof. One can verify in linear time that G is chordal [34] and verifying that G is also $\{F_1, F_2, H_1, H_2\}$ -free can be done in time $\mathcal{O}(|V(G)|^8)$. Therefore, we only have to show that we can check in polynomial time that G does not contain an induced subgraph of the form H_i for each $i > 2$. Observe that for all $i > 2$ the graph H_i contains an induced subgraph isomorphic to $2D$, the union of two diamonds (see Figure 3 and Figure 4). In $\mathcal{O}(|V(G)|^8)$ time, we can enumerate all induced subgraphs F of G isomorphic to $2D$. For each such subgraph F we have to verify whether it can be extended to an induced subgraph of the form H_i , for some $i > 2$. We do this as follows. Let D_1 and D_2 be the connected components (diamonds) of F . Furthermore, let u_1, u_2 be the two vertices of degree 2 in D_1 and similarly let v_1, v_2 be the two vertices of degree 2 in D_2 . Now we can verify that F is not contained in any induced subgraph of G isomorphic to H_i (for some $i > 2$) by checking for each pair u_i, v_j , with $i, j \in \{1, 2\}$, that u_i and v_j belong to different components of $G - (N_{G-u_i}[V(D_1) \setminus \{u_i\}] \cup N_{G-v_j}[V(D_2) \setminus \{v_j\}])$. This can be done in polynomial time and consequently the recognition of hereditarily CD graphs is a polynomially solvable problem.

The second part of the theorem follows from Theorem 6.1, since every hereditarily CD graph is chordal and chordal graphs are a subclass of \mathcal{G}_p for the polynomial $p(n) = n$ [59]. \square

It might seem conceivable that a similar approach as the one used in Theorem 6.1 could be used to develop an efficient algorithm for recognizing connected-domishold graphs in classes of graphs with only polynomially many minimal connected dominating sets. However, it is not known whether there exists an output-polynomial-time algorithm for the problem of enumerating minimal connected dominating sets. In fact, as shown by Kanté et al. [38], even when restricted to split graphs, this problem is equivalent to the well-known TRANS-ENUM problem in hypergraphs, the problem of enumerating the inclusion-minimal transversals of a given hypergraph. The TRANS-ENUM problem has been intensively studied but it is still open whether there exists an output-polynomial-time algorithm for the problem (see, e.g., the survey [28]).

6.2 The weighted connected dominating set problem

The WEIGHTED CONNECTED DOMINATING SET (WCDS) problem takes as input a connected graph G together with a cost function $c: V(G) \rightarrow \mathbb{R}^+$, and the task is to compute a connected dominating set of minimum total cost, where the cost of a set $S \subseteq V(G)$ is defined, as usual, as $c(S) = \sum_{v \in S} c(v)$. The WCDS problem has been studied extensively due to its many applications in networking (see, e.g., [6, 26, 66]). The problem is NP-hard not only for general graphs [36] but also for split graphs [46], chordal bipartite graphs [52], circle graphs [40], and cocomparability graphs [14]. Polynomial-time algorithms for the problem were developed for interval graphs [15] and more generally for trapezoid graphs [64] and circular-arc graphs [15, 37], as well as for distance-hereditary graphs [68].

In this section, we will identify further graph classes where the WCDS problem is polynomially solvable, including the class of F_2 -free split graphs (see Figure 1). This result

is interesting in view of the fact that for split graphs, the WCDS problem is not only NP-hard but also hard to approximate, even in the unweighted case. This can be seen as follows: Let $\mathcal{H} = (V, E)$ be a Sperner hypergraph with $\emptyset, V \notin E$ and let G be its split-incidence graph. Then G is a connected split graph without universal vertices, hence $\mathcal{C}(G) = \mathcal{N}(G)$ by Lemma 4.2. It can be seen that the hyperedge set of $\mathcal{N}(G)$ is exactly E , and therefore Proposition 2.1 implies that the problem of finding a minimum connected dominating set in G is equivalent to the HITTING SET problem in hypergraphs, the problem of finding a minimum transversal of a given hypergraph. This latter problem is known to be equivalent to the well-known SET COVER problem and hence inapproximable in polynomial time to within a factor of $(1 - \epsilon) \log |V|$, for any $\epsilon > 0$, unless $P = NP$ [24]. It follows that the WCDS problem is hard to approximate to within a factor of $(1 - \epsilon) \log |V(G)|$ in the class of split graphs.

We will show that the WCDS problem is polynomially solvable in the class of hereditarily CD graphs; the result for F_2 -free split graphs will then follow. Our approach is based on connections with vertex separators and Boolean functions. First, we recall the following known results about: (i) the relation between the numbers of prime implicants of a threshold Boolean function and its dual, and (ii) the complexity of dualizing threshold Boolean functions. These results were proved in the more general context of regular Boolean functions (as well as for other generalizations, see, e.g., [7]).

Theorem 6.3. *Let f be an n -variable threshold Boolean function having exactly q prime implicants. Then:*

1. (Bertolazzi and Sassano [5], Crama [21], see also [22, Theorem 8.29]) *The dual function f^d has at most N prime implicants, where N is the total number of variables in the complete DNF of f .*
2. (Crama and Hammer [22, Theorem 8.28] and Peled and Simeone [56]) *There is an algorithm running in time $\mathcal{O}(n^2q)$ that, given the complete DNF of f , computes the complete DNF of the dual function f^d .*

The algorithm by Crama and Hammer [22] is already presented as having time complexity $\mathcal{O}(n^2q)$, while the one by Peled and Simeone [56] is claimed to run in time $\mathcal{O}(nq)$. However, since f^d can have $\mathcal{O}(nq)$ prime implicants, the total size of the output is of the order $\mathcal{O}(n^2q)$. The time complexity $\mathcal{O}(nq)$ of the algorithm by Peled and Simeone relies on the assumption that the algorithm outputs the prime implicants of the dual function one by one, each time overwriting the previous prime implicant (with a constant number of operations per implicant on average).

The relation between the numbers of prime implicants of a threshold Boolean function and its dual given by Theorem 6.3 implies that classes of connected-domishold graphs with only polynomially many minimal cutsets are exactly the same as the classes of connected-domishold graphs with only polynomially many minimal connected dominating sets. More precisely:

Lemma 6.4. *Let $G = (V, E)$ be an n -vertex connected-domishold graph that is not complete. Let ν_c (resp. ν_s) denote the number of minimal connected dominating sets (resp. of minimal cutsets) of G . Then $\nu_s \leq (n - 2)\nu_c$ and $\nu_c \leq (n - 2)\nu_s$.*

Proof. By Proposition 3.4, the cutset function f_G^{cut} is threshold. Function f_G^{cut} is an n -variable function with exactly ν_s prime implicants in its complete DNF. Recall from the

proof of Proposition 3.4 that the dual function $(f_G^{cut})^d$ takes value 1 precisely on the vectors $x \in \{0, 1\}^V$ whose support is a connected dominating set of G . Therefore, the prime implicants of $(f_G^{cut})^d$ are in bijective correspondence with the minimal connected dominating sets of G and the number of prime implicants of $(f_G^{cut})^d$ is exactly ν_c . Since every minimal cutset of G has at most $n - 2$ vertices, Theorem 6.3 implies that $\nu_c \leq (n - 2)\nu_s$, as claimed.

Conversely, since $f_G^{cut} = ((f_G^{cut})^d)^d$, the inequality $\nu_s \leq (n - 2)\nu_c$ can be proved by a similar approach, provided we show that every minimal connected dominating set of G has at most $n - 2$ vertices. But this is true since if D is a connected dominating set of G with at least $n - 1$ vertices, with $V(G) \setminus \{u\} \subseteq D$ for some $u \in V(G)$, then a smaller connected dominating set D' of G could be obtained by fixing an arbitrary spanning tree T of $G[D]$ and deleting from D an arbitrary leaf v of T such that $N_G(u) \neq \{v\}$. (Note that since G is connected but not complete, it has at least three vertices, hence T has at least two leaves.) This completes the proof. \square

We now have everything ready to derive the main result of this section. Recall that for a polynomial p , we denote by \mathcal{G}_p the class of graphs with at most $p(|V(G)|)$ minimal vertex separators.

Theorem 6.5. *For every nonzero polynomial p , the set of minimal connected dominating sets of an n -vertex connected-domishold graph from \mathcal{G}_p has size at most $\mathcal{O}(n \cdot p(n))$ and can be computed in time $\mathcal{O}(n \cdot p(n) \cdot (n^2 + p(n)))$. In particular, the WCDS problem is solvable in polynomial time in the class of connected-domishold graphs from \mathcal{G}_p .*

Proof. Let p and G be as in the statement of the theorem and let $\mathcal{CD}(G)$ be the set of minimal connected dominating sets of G . If G is complete, then

$$\mathcal{CD}(G) = \{\{v\} : v \in V(G)\}$$

and thus $|\mathcal{CD}(G)| = n = \mathcal{O}(n \cdot p(n))$ (since the polynomial is nonzero). Otherwise, we can apply Lemma 6.4 to derive $|\mathcal{CD}(G)| \leq (n - 2) \cdot p(n)$.

A polynomial-time algorithm to solve the WCDS problem for a given connected-domishold graph $G \in \mathcal{G}_p$ with respect to a cost function $c: V(G) \rightarrow \mathbb{R}^+$ can be obtained as follows. First, we may assume that G is not complete, since otherwise we can return a set $\{v\}$ where v is a vertex minimizing $c(v)$. We use a similar approach as in the proof of Theorem 6.1. Using the algorithm of Berry et al. [3], we compute in time $\mathcal{O}(n^3 p(n))$ the set Σ of all minimal vertex separators of G . We can assume that each minimal vertex separator has its elements listed according to some fixed order of $V(G)$ (otherwise, we can sort them in time $\mathcal{O}(n \cdot p(n))$ using, e.g., bucket sort). The cutset hypergraph, $\mathcal{C}(G)$, is then computed by comparing each pair of sets in Σ and discarding the non-minimal ones; this can be done in time $\mathcal{O}(n \cdot (p(n))^2)$. The cutset hypergraph directly corresponds to the complete DNF of the cutset function f_G^{cut} .

The next step is to compute the complete DNF of the dual function $(f_G^{cut})^d$. By Theorem 6.3, this can be done in time $\mathcal{O}(n^2 \cdot p(n))$. Since each term of the DNF is a prime implicant of $(f_G^{cut})^d$ and the prime implicants of $(f_G^{cut})^d$ are in bijective correspondence with the minimal connected dominating sets of G , we can read off from the DNF all the minimal connected dominating sets of G . The claimed time complexity follows.

Once the list of all minimal connected dominating sets is available, a polynomial-time algorithm for the WCDS problem on (G, c) follows immediately. \square

In the case of chordal graphs, we can improve the running time by using one of the known linear-time algorithms for listing the minimal vertex separators of a given chordal graph due to Kumar and Veni Madhavan [45], Chandran and Grandoni [13], and Berry and Pogorelcnik [4].

Theorem 6.6. *Every n -vertex connected-domishold chordal graph has at most $\mathcal{O}(n^2)$ minimal connected dominating sets, which can be enumerated in time $\mathcal{O}(n^3)$. In particular, the WCDS problem is solvable in time $\mathcal{O}(n^3)$ in the class of connected-domishold chordal graphs.*

Proof. Let G be an n -vertex connected-domishold chordal graph. The theorem clearly holds for complete graphs, so we may assume that G is not complete. Since G is chordal, it has at most n minimal vertex separators [59]; consequently, G has at most n minimal cutsets. Since G is connected-domishold, it has at most $n(n-2)$ minimal connected dominating sets, by Lemma 6.4.

The minimal connected dominating sets of G can be enumerated as follows. First, we compute all the $\mathcal{O}(n)$ minimal vertex separators of G in time $\mathcal{O}(n+m)$ (where $m = |E(G)|$) using one of the known algorithms for this problem on chordal graphs [4, 13, 45]. Assuming again that each minimal vertex separator has its elements listed according to some fixed order of $V(G)$, we then eliminate those that are not minimal cutsets in time $\mathcal{O}(n^3)$, by directly comparing each of the $\mathcal{O}(n^2)$ pairs for inclusion.

The list of $\mathcal{O}(n)$ minimal cutsets of G yields its cutset function, f_G^{ms} . The list of minimal connected dominating sets of G can be obtained in time $\mathcal{O}(n^3)$ by dualizing f_G^{ms} using one of the algorithms given by Theorem 6.3. The WCDS problem can now be solved in time $\mathcal{O}(n^3)$ by evaluating the cost of each of the $\mathcal{O}(n^2)$ minimal connected dominating sets and outputting one of minimum cost. \square

From Theorem 6.6 we derive two new polynomially solvable cases of the WCDS problem. Recall that the graphs F_1 , F_2 , and a general member of the family $\{H_i\}$ are depicted in Figure 3.

Corollary 6.7. *The WCDS problem is solvable in time $\mathcal{O}(n^3)$ in the class of $\{F_1, F_2, H_1, H_2, \dots\}$ -free chordal graphs and in particular in the class of F_2 -free split graphs.*

Proof. By Theorem 5.4, every $\{F_1, F_2, H_1, H_2, \dots\}$ -free chordal graphs is (hereditarily) CD so Theorem 6.6 applies. The statement for F_2 -free split graphs follows from Corollary 5.10. \square

We conclude this section with two remarks, one related to Theorem 6.6 and one related to Theorems 6.1 and 6.5.

Remark 6.8. The bound $\mathcal{O}(n^2)$ given by Theorem 6.6 on the number of minimal connected dominating sets in an n -vertex connected-domishold chordal graph is sharp. There exist n -vertex connected-domishold chordal graphs with $\Theta(n^2)$ minimal connected dominating sets. For instance, let S_n be the split graph with $V(S_n) = K \cup I$ where $K = \{u_1, \dots, u_n\}$ is a clique, $I = \{v_1, \dots, v_n\}$ is an independent set, $K \cap I = \emptyset$, and for each $i \in [n]$, vertex u_i is adjacent to all vertices of I except v_i . Since every vertex in I has a unique non-neighbor in K , we infer that S_n is F_2 -free. Therefore, by Corollary 5.10 graph S_n is a (hereditarily) connected-domishold graph. Note that every set of the form $\{u_i, u_j\}$ where $1 \leq i < j \leq n$ is a minimal connected dominating set of S_n . It follows that S_n has at least $\binom{n}{2} = \Theta(|V(S_n)|^2)$ minimal connected dominating sets.

Remark 6.9. Theorems 6.1 and 6.5 motivate the question of whether there is a polynomial p such that every connected CD graph G has at most $p(|V(G)|)$ minimal vertex separators. As shown by the following family of graphs, this is not the case. For $n \geq 2$, let G_n be the graph obtained from the disjoint union of n copies of the P_4 , say (x_i, a_i, b_i, y_i) for $i = 1, \dots, n$, by identifying all vertices x_i into a single vertex x , all vertices y_i into a single vertex y , and for each vertex z other than x or y , adding a new vertex z' and making it adjacent only to z . It is not difficult to see that G_n has exactly two minimal CD sets, namely $\{a_1, \dots, a_n\} \cup \{b_1, \dots, b_n\} \cup \{v\}$ for $v \in \{x, y\}$. A CD structure of G_n is given by (w, t) where $t = 4n + 1$, $w(x) = w(y) = 1$, $w(a_i) = w(b_i) = 2$ for all $i \in \{1, \dots, n\}$ and $w(z) = 0$ for all other vertices z . Therefore, G_n is CD. However, G_n has $4n + 2$ vertices and 2^n minimal x, y -separators, namely all sets of the form $\{c_1, \dots, c_n\}$ where $c_i \in \{a_i, b_i\}$ for all i .

7 Proof of Lemma 5.3 (Diamond Lemma)

In the proof of the Diamond Lemma, we use the following notation. We write $u \sim v$ (resp. $u \not\sim v$) to denote the fact that two vertices u and v are adjacent (resp. non-adjacent). Given two vertex sets A and B in a graph G , we denote by $e(A, B)$ the number of edges with one endpoint in A and one endpoint in B . A *pattern* is a triple (V, E, F) where $G = (V, E)$ is a graph and F is a subset of non-adjacent vertex pairs of G . We say that a graph G' *realizes a pattern* (V, E, F) if $V(G') = V$ and $E \subseteq E(G') \subseteq E \cup F$.

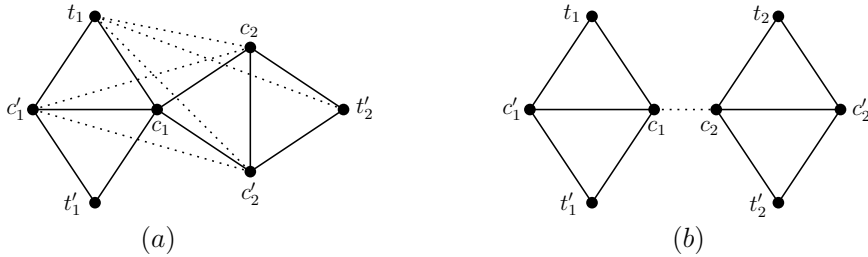


Figure 7: Two patterns (V, E, F) used in the proofs. Graphs (V, E) are depicted with solid lines. Possible additional edges (elements of F) are depicted with dotted lines.

We start with a lemma.

Lemma 7.1. *Let G be a connected chordal graph and let H be an induced subgraph of G that realizes the pattern in Figure 7(a). Moreover, suppose that:*

- (1) *vertices t_1 and t'_1 are in different components of $G - \{c_1, c'_1\}$, and*
- (2) *the component of $G - \{c_1, c'_1\}$ containing $\{c_2, c'_2, t'_2\}$ has a vertex dominating $\{c_1, c'_1\}$.*

Then G contains F_1 or F_2 as an induced subgraph.

Proof. By contradiction. Suppose that G and H satisfy the assumptions of the lemma, but G is $\{F_1, F_2\}$ -free. We first show that none of the dotted edges can be present in H . We infer that $c'_1 \not\sim c_2$ and $c'_1 \not\sim c'_2$, for otherwise an induced F_1 or F_2 arises on the vertex set $V(H) \setminus \{t_1\}$, depending on whether one or both edges are present. Next, $t_1 \not\sim t'_2$, since otherwise a 4-cycle arises on the vertex set $\{t_1, c_1, c'_2, t'_2\}$ (if $t_1 \not\sim c'_2$) or an induced F_1

arises on the vertex set $V(H) \setminus \{c_2\}$ (otherwise). Finally, we infer that $t_1 \approx c_2$ and $t_1 \approx c'_2$, for otherwise an induced F_1 or F_2 arises on the vertex set $V(H) \setminus \{t'_1\}$, depending whether one or both edges are present.

Let K be the component of $G - \{c_1, c'_1\}$ such that $V'_2 = \{c_2, c'_2, t'_2\} \subseteq V(K)$, and let $w \in V(K)$ be a vertex dominating $\{c_1, c'_1\}$ that is closest to V'_2 in K . The preceding paragraph implies that $w \notin V'_2$. We will now show that $w \approx v$ for any $v \in V'_2$. Suppose for a contradiction that $w \sim v$ for some $v \in V'_2$. Note that $w \notin \{t_1, t'_1\}$ since there are no edges between the sets $\{t_1, t'_1\}$ and V'_2 . Furthermore, property (1) implies that there exists some $t \in \{t_1, t'_1\}$ such that $w \approx t$. Suppose that $w \sim t'_2$. Then $w \sim c_2$, since otherwise a 4-cycle arises on the vertex set $\{w, c_1, c_2, t'_2\}$. But now the vertex set $\{t'_2, c_2, w, c_1, c'_1, t\}$ induces a copy of F_1 in G . Therefore $w \approx t'_2$, and an induced F_1 or F_2 arises on the vertex set $V'_2 \cup \{w, c_1, c'_1\}$, depending on whether w is adjacent to one or both vertices in $\{c_2, c'_2\}$. This contradiction shows that w has no neighbor in V'_2 .

Let $P = (w = w_1, \dots, w_k)$ with $w_k \in V'_2$ be a shortest w, V'_2 -path in K . Note that $k \geq 3$ and the choice of P implies that for all $i \in \{1, \dots, k-2\}$ vertex w_i is not adjacent to any vertex in V'_2 . In order to avoid an induced cycle of length at least 4 within $V(P) \cup V'_2 \cup \{c_1\}$, we infer that vertex c_1 must be adjacent to all the internal vertices of P (that is, to w_2, \dots, w_{k-1}). Next we infer that $w_{k-1} \sim t'_2$, since otherwise the vertex set $V'_2 \cup \{c_1, w_{k-1}, w_{k-2}\}$ induces a copy of F_1 or F_2 (depending on the number of edges between w_{k-1} and $\{c_2, c'_2\}$). Moreover, to avoid an induced 4-cycle on the vertex set $\{t'_2, w_{k-1}, c_1, c_2\}$, we infer that $w_{k-1} \sim c_2$. But now an induced F_1 arises on the vertex set $\{t'_2, c_2, c_1, w_{k-1}, w_{k-2}, w_{k-3}\}$ (where if $k = 3$ we define $w_0 = c'_1$). This last contradiction completes the proof of Lemma 7.1. \square

Let us now recall Lemma 5.3.

Lemma 5.3 (Diamond Lemma). *Let G be a connected chordal graph. Suppose that G contains two induced diamonds $D_1 = (V_1, E_1)$ and $D_2 = (V_2, E_2)$ such that:*

- (i) $C_1 \cap C_2 = \emptyset$.
- (ii) *If no vertex in C_1 is adjacent to a vertex in C_2 , then there exists a C_1, C_2 -separator in G of size one.*
- (iii) *For each $j \in \{1, 2\}$ the tips (i.e., t_j, t'_j) of D_j belong to different components of $G - C_j$.*
- (iv) *For $j \in \{1, 2\}$ every component of $G - C_j$ has a vertex that dominates C_j .*

Then G has an induced subgraph isomorphic to F_1, F_2 , or H_i for some $i \geq 1$, where the graphs F_1, F_2 , and a general member of the family $\{H_i\}$ are depicted in Figure 3.

Proof. We will prove the Diamond Lemma by contradiction through a series of claims. Let G be a connected chordal graph and let D_1 and D_2 be two induced diamonds with properties (i)–(iv) in G . Suppose for a contradiction that G is $\{F_1, F_2, H_1, H_2, \dots\}$ -free.

Claim 1. *For each $j \in \{1, 2\}$, there exists some $t \in T_j$ such that $N[t] \cap C_{3-j} = \emptyset$ (that is, each diamond has a tip that is not adjacent to any center of the other diamond).*

Proof. Suppose that each tip of D_j is adjacent to at least one vertex in C_{3-j} . Then T_j belongs to one component of $G - C_j$, contradicting property (iii). \square

Claim 2. *If there exists some $t \in T_1 \cap T_2$, then $T_1 \cap T_2 = \{t\}$ and $T_j \cap C_{3-j} = \emptyset$ for $j \in \{1, 2\}$.*

Proof. Follows immediately from Claim 1 and property (iii). \square

Claim 3. $|V_1 \cap V_2| \leq 1$.

Proof. First note that we have $|T_1 \cap T_2| \leq 1$, since otherwise $T_1 = T_2$, contradicting property (iii). Observe also that by property (i) we have $C_1 \cap V_2 \subseteq C_1 \cap T_2$, implying that $|C_1 \cap V_2| \leq 1$. Consequently $|V_1 \cap V_2| \leq 2$.

Now suppose for a contradiction that $|V_1 \cap V_2| = 2$. By property (i) and Claim 2 we may assume without loss of generality that $c_1 = t_2$ and $t'_1 = c'_2$. To avoid an induced 4-cycle on the set $T_1 \cup T_2$ we infer that $t_1 \sim t'_2$. Furthermore, property (iii) implies that $c'_1 \sim t'_2$ and $c_2 \sim t_1$. But now the set $V_1 \cup V_2$ induces a copy of F_1 (if $c'_1 \sim c_2$) or a copy of F_2 (otherwise). \square

Claim 4. *If $V_1 \cap V_2 = \{v\}$ then $v \in T_1 \cap T_2$.*

Proof. Suppose for a contradiction that $V_1 \cap V_2 = \{v\}$, and $v \notin T_1 \cap T_2$. Property (i) implies that $v \in T_j \cap C_{3-j}$ for some $j \in \{1, 2\}$, say $v = c_1 = t_2$. Claim 1 implies (without loss of generality) that $t'_1 \sim c_2$ and $t'_1 \sim c'_2$. Property (iii) implies that $c'_1 \sim t'_2$. Note that $t'_1 \sim t'_2$, for otherwise a 4-cycle arises on the vertex set $\{t'_1, c_1, c_2, t'_2\}$. Now the subgraph of G induced by $V_1 \cup V_2$ realizes the pattern depicted in Figure 7(a) and we apply Lemma 7.1 to derive a contradiction. \square

Claim 5. $V_1 \cap V_2 = \emptyset$.

Proof. Suppose for a contradiction that $V_1 \cap V_2 \neq \emptyset$. Claim 3 implies that $V_1 \cap V_2 = \{v\}$ and by Claim 4, $v \in T_1 \cap T_2$. Without loss of generality we may assume that $t_1 = t_2$. Claim 1 implies that there is no edge between t'_1 and C_2 and between t'_2 and C_1 . Furthermore, we must have $t'_1 \sim t'_2$ since otherwise G contains an induced 4-cycle on the vertex set $\{t'_1, c_1, c_2, t'_2\}$ (if $c_1 \sim c_2$) or an induced 5-cycle on the vertex set $\{t'_1, c_1, t_1, c_2, t'_2\}$ (otherwise).

It remains to analyze the edges between C_1 and C_2 . Clearly, $e(C_1, C_2) \in \{0, 1, \dots, 4\}$. Notice that

$$e(C_1, C_2) = \begin{cases} 0 & \text{implies an induced } H_1 \text{ on the set } V_1 \cup V_2; \\ 1 & \text{implies an induced } F_1 \text{ on the vertex set } (V_1 \cup V_2) \setminus \{t'_1\}; \\ 3 & \text{implies an induced } F_1 \text{ on the vertex set } (V_1 \cup V_2) \setminus \{t_1\}; \\ 4 & \text{implies an induced } F_2 \text{ on the vertex set } (V_1 \cup V_2) \setminus \{t_1\}. \end{cases}$$

Consequently $e(C_1, C_2) = 2$, and without loss of generality, to avoid an induced 4-cycle, we may assume that $c_1 \sim c_2$ and $c_1 \sim c'_2$. But now an induced F_2 arises on the vertex set $(V_1 \cup V_2) \setminus \{t'_1\}$. \square

In the rest of the proof of the Diamond Lemma we consider the edges between V_1 and V_2 . By Claim 1 and property (iii) we may assume without loss of generality the following.

Assumption 1. $e(\{t'_1\}, V_2) = e(\{t'_2\}, V_1) = 0$.

Therefore, it remains to consider only the (non-)edges between $\{t_1\}$ and C_2 , between $\{t_2\}$ and C_1 , between C_1 and C_2 , and between $\{t_1\}$ and $\{t_2\}$.

Claim 6. $e(C_1, C_2) \leq 1$.

Proof. Clearly, $e(C_1, C_2) \leq 4$. Note that if $e(C_1, C_2) \in \{3, 4\}$, then the vertex set $(V_1 \cup V_2) \setminus \{t_1, t_2\}$ induces either a copy of F_1 or a copy of F_2 . Furthermore, if $e(C_1, C_2) = 2$, then, to avoid an induced 4-cycle, we may assume without loss of generality that $c_1 \sim c_2$ and $c_1 \sim c'_2$. Now the subgraph of G induced by $(V_1 \cup V_2) \setminus \{t_2\}$ realizes the pattern depicted in Figure 7(a) and we apply Lemma 7.1 to derive a contradiction. \square

By Claim 6 we may assume without loss of generality the following.

Assumption 2. $c'_1 \approx c_2$, $c'_1 \approx c'_2$, and $c_1 \approx c'_2$.

Claim 7. $e(\{t_j\}, C_{3-j}) \leq 1$ for $j \in \{1, 2\}$.

Proof. Suppose for a contradiction that $e(t_j, C_{3-j}) = 2$. To avoid an induced H_1 on the vertex set $(V_1 \cup V_2) \setminus \{t_{3-j}\}$, we must have an edge between C_1 and C_2 . By Claim 6 and Assumption 2, we have $c_1 \sim c_2$, but now an induced F_1 arises on the vertex set $V_j \cup C_{3-j}$. \square

Claim 8. We may assume without loss of generality that $t_j \approx c'_{3-j}$ for $j \in \{1, 2\}$.

Proof. Let $j \in \{1, 2\}$. By Claim 7, we have that either $t_j \approx c_{3-j}$ or $t_j \approx c'_{3-j}$. If both edges are missing, then there is nothing to show. Suppose now that $e(t_j, C_{3-j}) = 1$. To see that we may assume that $t_j \sim c_{3-j}$, note that this can be achieved by swapping c_{3-j} and c'_{3-j} (if necessary) when $c_1 \approx c_2$, while if $c_1 \sim c_2$, then $t_j \sim c_{3-j}$, since otherwise the vertex set $\{t_j, c_1, c_2, c'_{3-j}\}$ induces a 4-cycle in G . \square

Claim 8 yields the following.

Assumption 3. $t_1 \approx c'_2$ and $t_2 \approx c'_1$.

Claim 9. $t_1 \approx t_2$.

Proof. Suppose for a contradiction that $t_1 \sim t_2$. First we will show that $c_1 \sim t_2$ or $c_2 \sim t_1$. Suppose for a contradiction that $c_1 \approx t_2$, and $c_2 \approx t_1$. Then an induced H_2 arises on the set $V_1 \cup V_2$ (if $c_1 \approx c_2$) or an induced 4-cycle on the vertex set $\{c_1, t_1, t_2, c_2\}$ (otherwise).

Without loss of generality we may assume that $c_1 \sim t_2$. By Assumption 3 we have $t_2 \approx c'_1$, and to avoid an induced H_1 on the vertex set $(V_1 \cup V_2) \setminus \{t'_1\}$, we must have an edge between t_1 and C_2 or $c_1 \sim c_2$. If $t_1 \sim c_2$, then the vertex set $C_1 \cup C_2 \cup \{t_1, t_2\}$ induces a copy of F_1 or F_2 (depending on whether $c_1 \sim c_2$ or not). Consequently $t_1 \approx c_2$. Therefore the only edge we can have is $c_1 \sim c_2$, but now an induced F_1 arises on the vertex set $C_1 \cup C_2 \cup \{t_1, t_2\}$. \square

Claim 10. $t_1 \approx c_2$ and $t_2 \approx c_1$.

Proof. By symmetry, it suffices to show that $c_1 \sim t_2$. Suppose for a contradiction that $c_1 \not\sim t_2$. Claim 9 implies that $t_1 \sim t_2$. Recall that by Assumption 1 we have $t_2 \sim t'_1$. Furthermore $e(\{t_1\}, C_2) = 0$, since otherwise $t_1 \sim c_2$ (by Assumption 3) and either the vertex set $\{t_1, c_1, t_2, c_2\}$ induces a 4-cycle (if $c_1 \sim c_2$) or the vertex set $C_1 \cup C_2 \cup \{t_1, t_2\}$ induces an F_1 (otherwise).

Let K be the component of $G - C_1$ such that $V_2 \subseteq V(K)$. By property (iv) there exists a vertex in $V(K)$ that dominates C_1 . Let $w \in V(K)$ be a vertex that dominates C_1 and is closest to V_2 in K . Clearly, $w \notin V_2$. Property (iii) implies that there exists some $t \in T_1$ such that $w \neq t$ and $w \sim t$. Note that $c_1 \sim c_2$, since otherwise the subgraph of G induced by $C_1 \cup C_2 \cup \{w, t, t_2\}$ realizes the pattern depicted in Figure 7(a) and we apply Lemma 7.1 to derive a contradiction. Furthermore, $w \sim t'_2$, since otherwise t_2 and t'_2 would belong to the same component of $G - C_2$, contradicting property (iii). Next, we have that $w \sim c_2$, since otherwise either the vertex set $\{w, c_1, t_2, c_2\}$ induces a 4-cycle (if $w \sim t_2$) or the vertex set $C_1 \cup \{t, w, t_2, c_2\}$ induces an F_1 (otherwise). By symmetry, $w \sim c'_2$. Consequently, $w \sim t_2$, for otherwise a copy of H_1 arises on the vertex set $C_1 \cup V_2 \cup \{w\}$.

Let $P = (w = w_1, \dots, w_k)$ with $w_k \in V_2$ be a shortest w, V_2 -path in K . Note that $k \geq 3$ and that the choice of P implies that for all $i \in \{1, \dots, k-2\}$ vertex w_i is not adjacent to any vertex in V_2 . Furthermore, $w_{k-1} \sim t'_2$, since otherwise t_2 and t'_2 would belong to the same component of $G - C_2$, contradicting property (iii). In order to avoid an induced cycle of length at least 4 within $V(P) \cup V_2 \cup \{c_1\}$, we infer that vertex c_1 must be adjacent to all the internal vertices of P (that is, w_2, \dots, w_{k-1}). If $w_{k-1} \sim t_2$, then $w_k \in C_2$, which yields an induced 4-cycle on the vertex set $\{c_1, t_2, w_k, w_{k-1}\}$. Therefore, $w_{k-1} \sim t_2$. But now either an induced H_1 arises on the vertex set $V_2 \cup \{w_{k-1}, w_{k-2}, c_1\}$ (if $e(\{w_{k-1}\}, C_2) = 0$) or an induced F_1 or F_2 arises on the vertex set $V_2 \cup \{w_{k-1}, c_1\}$ (otherwise). \square

Assumptions 1–3 and Claims 7, 9, and 10 imply the following.

Claim 11. *The only possible edge between V_1 and V_2 is the edge c_1c_2 .*

Let H be the subgraph of G induced by $V_1 \cup V_2$. By Claim 11, H realizes the pattern in Figure 7(b). Let K_2^{-1} be the component of $G - C_1$ containing V_2 and let U^{-1} be the set of vertices in K_2^{-1} that dominate C_1 . By property (iv), set U^{-1} is non-empty. Let u^{-1} be a vertex in U^{-1} that is closest in K_2^{-1} to C_2 . Graph K_1^{-2} and vertex u^{-2} are defined analogously.

By property (iii) we may assume without loss of generality the following.

Assumption 4. $t'_1 \notin V(K_2^{-1})$ and $t'_2 \notin V(K_1^{-2})$.

Claim 12. $\{u^{-1}, u^{-2}\} \cap \{t'_1, t'_2\} = \emptyset$ and $e(\{u^{-1}, u^{-2}\}, \{t'_1, t'_2\}) = 0$.

Proof. Since $u^{-1} \in V(K_2^{-1})$ and $t'_1 \notin V(K_2^{-1})$, the definition of K_2^{-1} implies that $u^{-1} \neq t'_1$ and $u^{-1} \sim t'_1$. By symmetry, we also have $u^{-2} \neq t'_2$ and $u^{-2} \sim t'_2$.

We next show that $u^{-1} \neq t'_2$ and $u^{-1} \sim t'_2$ (and then the remaining inequality $u^{-2} \neq t'_1$ and non-adjacency $u^{-2} \sim t'_1$ will follow by symmetry). First note that $u^{-1} \neq t'_2$ since u^{-1} dominates C_1 and $e(\{t'_2\}, C_1) = 0$ by Assumption 1. Suppose for a contradiction that $u^{-1} \sim t'_2$. This implies that $u^{-1} \sim t_2$, since otherwise t_2 and t'_2 would belong to the same component of $G - C_2$, contradicting property (iii). But now, either an induced H_2 arises on the vertex set $V_2 \cup C_1 \cup \{u^{-1}, t'_1\}$ (if $e(\{u^{-1}\}, C_2) = 0$), or an induced H_1 arises

either on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, t'_1, t'_2\}$ (if $e(\{u^{-1}\}, C_2) = 1$) or on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, t'_1, t_2\}$ (otherwise). \square

Claim 13. *Vertices u^{-1} and u^{-2} are distinct and non-adjacent, and at least one of the sets $N(u^{-1}) \cap V_2$, $N(u^{-2}) \cap V_1$ is empty.*

Proof. First we prove that $u^{-1} \approx c_2$ or $u^{-1} \approx c'_2$. Suppose for a contradiction that $e(\{u^{-1}\}, C_2) = 2$. Then either an induced F_1 arises on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, t'_2\}$ (if $c_1 \sim c_2$) or an induced H_1 arises on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, t'_1, t'_2\}$ (otherwise). Therefore, $u^{-1} \approx c_2$ or $u^{-1} \approx c'_2$, as claimed.

Since u^{-2} dominates C_2 but u^{-1} does not, we infer that $u^{-1} \neq u^{-2}$.

Next we prove that $u^{-1} \approx u^{-2}$. Suppose for a contradiction that $u^{-1} \not\sim u^{-2}$. We claim that $u^{-1} \sim c_2$ or $u^{-2} \sim c_1$. Suppose to the contrary that $u^{-1} \approx c_2$ and $u^{-2} \approx c_1$. Then $c_1 \approx c_2$, since otherwise an induced 4-cycle arises on the vertex set $\{c_1, c_2, u^{-2}, u^{-1}\}$. Furthermore, $u^{-1} \sim c'_2$ or $u^{-2} \sim c'_1$, since otherwise an induced H_2 arises on the vertex set $C_1 \cup C_2 \cup \{t'_1, u^{-1}, u^{-2}, t'_2\}$. If only one of the edges $u^{-1}c'_2$ and $u^{-2}c'_1$ is present, say $u^{-1}c'_2$, then an induced H_1 arises on the vertex set $C_1 \cup C_2 \cup \{t'_1, u^{-1}, u^{-2}\}$. If both edges $u^{-1}c'_2$ and $u^{-2}c'_1$ are present, then an induced F_1 arises on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, u^{-2}\}$. Both cases lead to a contradiction, thus $u^{-1} \sim c_2$ or $u^{-2} \sim c_1$, as claimed. We may assume without loss of generality that $u^{-1} \sim c_2$. Now we must have $c_1 \approx c_2$ and $c_1 \approx u^{-2}$, since otherwise an induced F_1 or F_2 arises on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, u^{-2}\}$, depending on whether one or both edges are present. But now an induced H_1 arises on the vertex set $C_1 \cup C_2 \cup \{t'_1, u^{-1}, u^{-2}\}$, a contradiction.

To complete the proof, we consider the two cases depending on whether c_1 is adjacent to c_2 or not. Suppose first that $c_1 \sim c_2$. Then $u^{-1} \approx c'_2$, for otherwise $u^{-1} \approx c_2$ and G contains an induced 4-cycle on the vertex set $\{u^{-1}, c'_2, c_2, c_1\}$. By symmetry, we also have $u^{-2} \approx c'_1$. If $u^{-1} \sim c_2$ and $u^{-2} \sim c_1$, then an induced F_1 arises on the vertex set $C_1 \cup C_2 \cup \{u^{-1}, u^{-2}\}$. It follows that H contains at most one of the edges $u^{-1}c_2$ and $u^{-2}c_1$. By symmetry, we may assume without loss of generality that $u^{-1} \approx c_2$. We infer that $u^{-1} \approx t_2$, since otherwise G contains an induced C_4 on the vertex set $\{u^{-1}, c_1, c_2, t_2\}$. It follows that the set $N(u^{-1}) \cap V_2$ is empty.

Finally, suppose that $c_1 \not\sim c_2$. Then either $e(\{u^{-1}\}, C_2) = 0$ or $e(\{u^{-2}\}, C_1) = 0$, for otherwise G contains an induced 4-cycle on the vertex set $\{u^{-1}, x, u^{-2}, y\}$ where $x \in N(u^{-1}) \cap C_2$ and $y \in N(u^{-2}) \cap C_1$. By symmetry, we may assume without loss of generality that $e(\{u^{-1}\}, C_2) = 0$. We infer that $u^{-1} \approx t_2$, since otherwise G contains an induced H_2 on the vertex set $C_1 \cup V_2 \cup \{u^{-1}, t'_1\}$. It follows that the set $N(u^{-1}) \cap V_2$ is empty. \square

By Claim 13 we may assume without loss of generality the following.

Assumption 5. $e(\{u^{-1}\}, V_2) = 0$.

Claim 14. $c_1 \approx c_2$.

Proof. Suppose for a contradiction that $c_1 \not\sim c_2$ and consider K_2^{-1} , u^{-1} , K_1^{-2} , and u^{-2} . Clearly, $u^{-1} \notin C_1 \cup C_2 \cup \{t'_2\}$. Moreover, by Claim 12 we have we have $u^{-1} \neq t'_1$ and $u^{-1} \approx t'_1$. Also, by symmetry, $u^{-2} \notin C_1 \cup C_2 \cup \{t'_1\}$, $u^{-2} \neq t'_2$ and $u^{-2} \approx t'_2$. Furthermore, by Assumption 5 we have $N(u^{-1}) \cap V_2 = \emptyset$.

Let $P^{-1} = (u^{-1} = u_1, u_2, \dots, u_k)$, with $u_k \in V'_2 = C_2 \cup \{t'_2\}$ be a shortest u^{-1}, V'_2 -path in K_2^{-1} , and similarly, let $P^{-2} = (u^{-2} = v_1, v_2, \dots, v_\ell)$, with $v_\ell \in V'_1 =$

$C_1 \cup \{u^{-1}, t'_1\}$ be a shortest u^{-2}, V'_1 -path in $V(K_1^{-2})$. The fact that $N(u^{-1}) \cap V_2 = \emptyset$ implies that $k \geq 3$. Furthermore, Claims 11 and 13 imply that $u^{-2} \notin V_1 \cup \{u^{-1}\}$. Therefore, $\ell \geq 2$.

Since $u^{-1} \approx c_2$, we infer that vertex c_1 must be adjacent to all the internal vertices of P^{-1} , for otherwise G would contain an induced cycle of length at least 4. Consequently, the definition of u^{-1} implies that $u_j \approx c'_1$ for all $j \in \{2, \dots, k-1\}$.

Suppose that $u_{k-1} \sim c'_2$. To avoid an induced 4-cycle on the vertex set $\{c_1, c'_2, c_2, u_{k-1}\}$, we infer that $u_{k-1} \sim c_2$. We must have $k = 3$ since if $k \geq 4$, then the vertex set $C_2 \cup \{c_1, u_{k-1}, u_{k-2}, u_{k-3}\}$ induces a copy of F_1 . But now, since $c'_1 \approx u_2$, an induced copy of F_1 arises on the vertex set $C_1 \cup C_2 \cup \{u_1, u_2\}$, a contradiction. Therefore, $u_{k-1} \approx c'_2$.

Suppose that $u_{k-1} \sim t'_2$. To avoid an induced 4-cycle on the vertex set $\{c_1, c_2, t'_2, u_{k-1}\}$, we must have $u_{k-1} \sim c_2$. But now, the vertex set $V'_2 \cup \{u_{k-1}, u_{k-2}, c_1\}$ induces a copy of F_1 , a contradiction. Therefore, $u_{k-1} \approx t'_2$. Consequently, $u_k = c_2$.

Suppose that $u^{-2} \sim c_1$. If in addition $u^{-2} \approx u_{k-1}$, then also $u^{-2} \approx u_{k-2}$ (since otherwise the vertex set $\{u_{k-2}, u_{k-1}, c_2, u^{-2}\}$ would induce a 4-cycle), but now, the vertex set $\{u_{k-2}, u_{k-1}, c_1, c_2, c'_2, u^{-2}\}$ induces a copy of F_1 , a contradiction. Therefore, $u^{-2} \sim u_{k-1}$. Let u_i be the neighbor of u^{-2} on P^{-1} minimizing i . Since $u_1 \approx u^{-2}$, we have $i \geq 2$. Moreover, since $u^{-2} \sim u_{k-1}$, we have $i \leq k-1$. But now, the vertex set $C_2 \cup \{u_{i-1}, c_1, u_i, u^{-2}\}$ induces either a copy of F_1 (if $u_i \approx c_2$) or of F_2 (otherwise), a contradiction. Therefore, $u^{-2} \approx c_1$.

Note that $N(u^{-2}) \cap V_1 = \emptyset$, for otherwise if there is a vertex $x \in N(u^{-2}) \cap V_1$, then $x \neq c_1$ and G contains an induced 4-cycle on the vertex set $\{u^{-2}, c_2, c_1, x\}$, a contradiction. Since $N(u^{-2}) \cap V_1 = \emptyset$, we can now apply symmetric arguments as for P^{-1} to deduce that $\ell \geq 3$, vertex c_2 is adjacent to all the internal vertices of P^{-2} , and $v_\ell = c_1$.

Suppose first that $V(P^{-1}) \cap V(P^{-2}) = \emptyset$. To avoid an induced 4-cycle on the vertex set $\{u_{k-2}, c_2, c_1, v_{\ell-2}\}$, we infer that $u_{k-2} \approx v_{\ell-2}$. Suppose that $u_{k-1} \approx v_{\ell-1}$. Then also $u_{k-1} \approx v_{\ell-2}$ (since otherwise we would have an induced 4-cycle on the vertex set $\{u_{k-1}, v_{\ell-2}, v_{\ell-1}, c_1\}$) and by a symmetric argument also $u_{k-2} \approx v_{\ell-1}$. But now, we have an induced F_1 on the vertex set $\{u_{k-2}, c_1, u_{k-1}, c_2, v_{\ell-1}, v_{\ell-2}\}$. Thus, $u_{k-1} \sim v_{\ell-1}$. Moreover, we have either $u_{k-2} \sim v_{\ell-1}$ or $v_{\ell-2} \sim u_{k-1}$, since otherwise an induced F_2 arises on the vertex set $\{c_1, v_{\ell-1}, v_{\ell-2}, c_2, u_{k-1}, u_{k-2}\}$. Without loss of generality, assume that $u_{k-2} \sim v_{\ell-1}$. But now, setting $v_0 = c'_2$ if $\ell = 3$, either an induced 4-cycle arises on the vertex set $\{u_{k-2}, v_{\ell-1}, v_{\ell-2}, v_{\ell-3}\}$ (if $u_{k-2} \sim v_{\ell-3}$) or an induced copy of F_1 arises on the vertex set $\{u_{k-2}, c_1, v_{\ell-1}, v_{\ell-2}, c_2, v_{\ell-3}\}$ (otherwise). This contradiction shows that $V(P^{-1}) \cap V(P^{-2}) \neq \emptyset$.

Since $v_\ell = c_1$ and due to the minimality of P^{-2} , we have $N(c_1) \cap V(P^{-2}) = \{v_{\ell-1}\}$. On the other hand, since c_1 dominates P^{-1} , we have $N(c_1) \cap V(P^{-1}) = V(P^{-1})$. Therefore

$$\begin{aligned} \emptyset \neq V(P^{-2}) \cap V(P^{-1}) &= V(P^{-2}) \cap (N(c_1) \cap V(P^{-1})) \\ &= (N(c_1) \cap V(P^{-2})) \cap V(P^{-1}) = \{v_{\ell-1}\} \cap V(P^{-1}) \subseteq \{v_{\ell-1}\}, \end{aligned}$$

which yields $V(P^{-1}) \cap V(P^{-2}) = \{v_{\ell-1}\}$. A symmetric argument implies that $V(P^{-1}) \cap V(P^{-2}) = \{u_{k-1}\}$; in particular, $v_{\ell-1} = u_{k-1}$. To avoid an induced 4-cycle on the vertex set $\{u_{k-2}, c_1, c_2, v_{\ell-2}\}$, we infer that $u_{k-2} \approx v_{\ell-2}$. But now, an induced copy of F_1 arises on the vertex set $\{u_{k-3}, u_{k-2}, c_1, u_{k-1}, c_2, v_{\ell-2}\}$ (where if $k = 3$ we define $u_0 = c'_1$). This contradiction completes the proof of Claim 14. \square

By Claim 5, we have $V_1 \cap V_2 = \emptyset$. By Assumptions 1 and 2 and Claims 9, 10, and 14 we have $e(V_1, V_2) = 0$. However, since G is connected, there exists a path connecting the two diamonds D_1 and D_2 . In particular, we will again consider K_2^{-1} , u^{-1} , K_1^{-2} , and u^{-2} , and analyze the possible interrelations between two particular paths to produce a forbidden induced subgraph.

Recall that by Assumption 4 we have $t'_1 \notin V(K_2^{-1})$ and $t'_2 \notin V(K_1^{-2})$. Furthermore, since $e(V_1, V_2) = 0$, we have $u^{-1} \notin V_2$ and $u^{-2} \notin V_1$. Recall also that Claim 13 implies that $u^{-1} \neq u^{-2}$, $u^{-1} \sim u^{-2}$.

Let $P^{-1} = (u^{-1} = u_1, u_2, \dots, u_k)$, with $u_k \in C_2$, be a shortest u^{-1} , C_2 -path in K_2^{-1} , and let $P^{-2} = (u^{-2} = v_1, v_2, \dots, v_\ell)$, with $v_\ell \in C_1$, be a shortest u^{-2} , C_1 -path in K_1^{-2} . We may assume that $u_k = c_2$ and $v_\ell = c_1$. The fact that $N(u^{-1}) \cap V_2 = \emptyset$ implies that $k \geq 3$ and since $u^{-2} \notin C_1$, we have $\ell \geq 2$.

Claim 15. $\ell \geq 3$.

Proof. Suppose that $\ell = 2$. Then, $u^{-2} \sim c_1$. Moreover, we have that $u^{-2} \sim c'_1$ since otherwise u^{-2} would be a vertex in U^{-1} closer in K_2^{-1} to C_2 than u^{-1} , which is impossible due to the definition of u^{-1} .

We first show that $u^{-2} \neq u_{k-1}$. Suppose that $u^{-2} = u_{k-1}$. Then $u_{k-1} \sim c_1$ and $u_{k-1} \sim c'_2$. Hence, in order to avoid an induced cycle of length at least 4 within $V(P^{-1}) \cup \{c_1\}$, we infer that vertex c_1 must be adjacent to all the internal vertices of P^{-1} . By Assumption 4, vertex t'_2 has no neighbors in the set $V(K_1^{-2})$; in particular, t'_2 has no neighbors in the set $V(P^{-1}) \cup C_1$. Therefore, G contains an induced H_1 on the vertex set $C_2 \cup \{t'_2, c_1, u_{k-1}, u_{k-2}, u_{k-3}\}$ (where if $k = 3$ we define $u_0 = c'_1$), a contradiction.

Suppose that $u_{k-1} \sim c_1$. In particular, $u_{k-1} \neq t'_2$. To avoid an induced 4-cycle on the vertex set $\{c_1, u_{k-1}, c_2, u^{-2}\}$, we infer that $u_{k-1} \sim u^{-2}$. Moreover, $u_{k-1} \sim t'_2$ since otherwise the vertex set $C_2 \cup \{t'_2, u^{-2}, u_{k-1}, c_1\}$ induces a copy of either F_1 (if $u_{k-1} \sim c'_2$) or F_2 (otherwise). But now u^{-2} and t'_2 are in the same component of $G - C_2$, contradicting the fact that $u^{-2} \in V(K_1^{-2})$ and $t'_2 \notin V(K_1^{-2})$. This contradiction implies that $u_{k-1} \not\sim c_1$.

Let $j \in \{1, \dots, k\}$ be the maximum index such that $c_1 \sim u_j$. Then $j \leq k-2$. To avoid a long induced cycle, we infer that $c_1 \sim u_{j'}$ for all $j' \in \{1, \dots, j\}$. Let $i \in \{1, \dots, k\}$ be the minimum index such that $u^{-2} \sim u_i$. Note that $i > 1$ since $u_1 = u^{-1} \sim u^{-2}$. To avoid a long induced cycle, we infer that $i \leq j$ and that $u^{-2} \sim u_{i'}$ for all $i' \in \{i, \dots, k\}$. Note that if $i < j$, then $(u^{-1} = u_1, u_2, \dots, u_i, u^{-2}, u_k = c_2)$ is a u^{-1} , V'_2 -path in K_2^{-1} strictly shorter than P^{-1} , contradicting the minimality of P^{-1} . Therefore, $i = j$. But now, the vertex set $\{u_{j-1}, u_j, u_{j+1}, u_{j+2}, u^{-2}, c_1\}$ induces a copy of F_1 . This contradiction implies that $\ell \geq 3$. \square

Claim 16. $u_{k-1} \neq v_1$ and $v_{\ell-1} \neq u_1$.

Proof. Suppose for a contradiction that $u_{k-1} = v_1$. Recall that $v_1 = u^{-2}$. By the minimality of P^{-1} , we have $c_2 \sim u_j$ and $c'_2 \sim u_j$ for every $j \in \{1, \dots, k-2\}$. Furthermore, since $u_1 = u^{-1} \sim u^{-2} = u_{k-1}$, we have $k \geq 4$. Since u^{-2} and t'_2 are in different components of $G - C_2$, we infer that $t'_2 \sim u_j$ for all $j \in \{1, \dots, k-2\}$. If $c_1 \sim u_3$, then we obtain an induced copy of H_i for some $i \geq 1$ on the vertex set

$$C_2 \cup \{t'_2, v_1 = u_{k-1}, u_{k-2}, \dots, u_j, u_{j-1}, u_{j-2}, c_1\},$$

where $j \in \{3, \dots, k\}$ is the maximum index such that $c_1 \sim u_j$. (Note that $j \leq k-2$ since $c_1 \sim c_2 = u_k$ and $c_1 = v_\ell \sim v_1 = u_{k-1}$ by Claim 15.)

Therefore, $c_1 \sim u_3$, and to avoid a long induced cycle, also $c_1 \sim u_j$ for $j \geq 4$. A similar argument shows that $c'_1 \sim u_j$ for $j \geq 3$. If $c_1 \sim u_2$ and $c'_1 \sim u_2$, then we obtain an induced copy of some H_i on the vertex set $V(P^{-1}) \cup C_1 \cup C_2 \cup \{t'_1, t'_2\}$. If $c_1 \sim u_2$ and $c'_1 \not\sim u_2$ (or vice-versa), then an induced copy of some H_i arises on the vertex set $V(P^{-1}) \cup C_1 \cup C_2 \cup \{t'_2\}$, and if $c_1 \sim u_2$ and $c'_1 \not\sim u_2$, then an induced copy of some H_i arises on the vertex set $(V(P^{-1}) \setminus \{u_1\}) \cup C_1 \cup C_2 \cup \{t'_1, t'_2\}$. This contradiction shows that $u_{k-1} \neq v_1$.

Similar arguments as above imply that $v_{\ell-1} \neq u_1$. \square

Property (ii) in the statement of the Diamond Lemma implies the following.

Claim 17. $V(P^{-1}) \cap V(P^{-2}) \neq \emptyset$.

We are now ready to complete the proof of the Diamond Lemma. Let $r \in \{1, \dots, k\}$ be the minimum index such that $u_r \in V(P^{-2})$. Note that $r < k$, since $u_k \in C_2$ and $C_2 \cap V(P^{-2}) = \emptyset$. Let $s \in \{1, \dots, \ell\}$ be the index such that $u_r = v_s$. If $r = 1$, then $u_1 = v_{\ell-1}$, contradicting Claim 16. Therefore, $r \geq 2$. Similarly, if $s = 1$, then $v_1 = u_{k-1}$, again contradicting Claim 16. Therefore, $s \geq 2$.

Consider the path $Q = (u_1, \dots, u_r = v_s, v_{s-1}, \dots, v_1)$. Let D and D' be the subgraphs of G induced by $\{t'_1, c_1, c'_1, u_1\}$ and $\{t'_2, c_2, c'_2, v_1\}$, respectively. Notice that D and D' are diamonds. We will refer to tips u_1 and v_1 as the *roots* of D and D' , respectively. Then, Q is a path connecting the two roots. Moreover, by Assumption 4 we have $t'_1 \notin V(K_2^{-1})$ and $V(Q) \subseteq V(K_2^{-1})$, we infer that t'_1 has no neighbors on Q . Similarly, t'_2 has no neighbors on Q .

We may also assume that Q is an induced path; otherwise, we replace Q with a shortest u_1, v_1 -path in $G[V(Q)]$. To complete the proof, we will show that G is not $\{F_1, F_2, H_1, H_2, \dots\}$ -free. We say that an induced subgraph H of G is a *weakly induced* H_n if H has a spanning subgraph H_n with $n \geq 1$ consisting of two diamonds and a path connecting them such that, assuming notation from Figure 8, the following holds:

- (i) each of the two diamonds is induced in G ,
- (ii) there are no edges in G connecting a vertex from one diamond with a vertex from another diamond, except perhaps edges incident with their roots (if $n = 1$) or the unique edge on the path connecting the two roots (if $n = 2$),
- (iii) the path connecting the two diamonds is induced in G , and
- (iv) vertices x_1 and z_1 do not have any neighbors on the path.

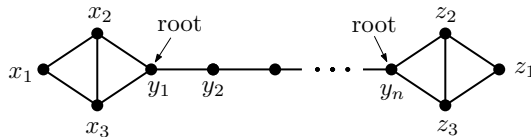


Figure 8: A weakly induced H_n .

Note, in particular, that for $n \in \{1, 2\}$ every weakly induced H_n is isomorphic to H_n .

The above considerations show that the subgraph of G induced by

$$V(D) \cup V(D') \cup V(Q)$$

contains a weakly induced H_n . Choose one such induced subgraph, say H , with minimum value of n , and let F be the corresponding spanning subgraph of H isomorphic to H_n . To complete the proof, we will now show that either H equals F or G contains an induced F_1 or F_2 . Suppose that this is not the case. The only possible edges that can be present in H but not in F are those connecting one of the vertices x_2, x_3, z_2, z_3 with one of the vertices in the set $\{y_2, \dots, y_{n-1}\}$.

Let us first show that for each $i \in \{2, \dots, n-1\}$, at most one of x_2 and x_3 is adjacent to y_i . Suppose that $x_2 \sim y_i$ and $x_3 \sim y_i$ for some $i \in \{2, \dots, n-1\}$. Then $y_i \sim z_2$ or $y_i \sim z_3$, since otherwise the subgraph of G induced by $\{x_1, x_2, x_3, y_i, \dots, y_n, z_1, z_2, z_3\}$ would be a weakly induced H_{n-i+1} , contradicting the minimality of H . If $y_i \sim z_2$ and $y_i \sim z_3$, then the vertex set $\{x_1, x_2, x_3, y_i, z_1, z_2, z_3\}$ induces an H_1 in G . We may thus assume that y_i is adjacent only to one of z_2, z_3 , say to z_3 . If $i = n-1$, then the vertex set $\{x_1, x_2, x_3, y_{n-1}, y_n, z_2, z_3\}$ induces an H_1 in G . If $i \leq n-2$, then the fact that G is chordal implies that $z_3 \sim y_j$ for all $j \in \{i, \dots, n\}$, and the vertex set $\{x_1, x_2, x_3, y_i, y_{i+1}, y_{i+2}, z_3\}$ induces an H_1 in G . This contradiction shows that for each $i \in \{2, \dots, n-1\}$, at most one of x_2 and x_3 is adjacent to y_i .

Next, we argue that at least one of x_2 and x_3 is not adjacent to any vertex y_i with $i \in \{2, \dots, n-1\}$. Indeed, if $x_2 \sim y_r$ and $x_3 \sim y_s$, with $2 \leq r \leq s \leq n-1$ (say), then $r < s$ and the fact that G is chordal implies that $x_3 \sim y_j$ for all $j \in \{2, \dots, s\}$, contradicting the fact that at most one of x_2 and x_3 is adjacent to y_r . Therefore, we may assume without loss of generality that x_2 has no neighbors in the set $\{y_2, \dots, y_{n-1}\}$. Similarly, we may assume that z_2 has no neighbors in the set $\{y_2, \dots, y_{n-1}\}$.

Let $r \in \{1, \dots, n-1\}$ be the maximum index such that $x_3 \sim y_r$. Similarly, let $s \in \{2, \dots, n\}$ be the minimum index such that $z_3 \sim y_s$. If $r = 1$ and $s = n$, then $H = F$ and we are done. Thus, we may assume without loss of generality that $r \geq 2$. In particular, this implies that $x_3 \sim y_2$ (since G is chordal). If $y_2 \sim z_3$, then the subgraph of G induced by $\{x_2, x_3, y_1, \dots, y_n, z_1, z_2, z_3\}$ is a weakly induced H_{n-1} , contradicting the minimality of n . Therefore, $y_2 \not\sim z_3$, or, equivalently, $s = 2$. A similar argument shows that $r = n-1$. Now, if $n = 3$, then the vertex set $\{x_2, x_3, y_1, y_2, y_3, z_2, z_3\}$ induces an H_1 in G , and if $n \geq 4$, then the vertex set $\{x_2, x_3, y_1, y_2, y_3, z_3\}$ induces an F_1 in G . This contradiction completes the proof of the Diamond Lemma. \square

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Appendix A A non-connected-domishold split graph whose cutset hypergraph is 2-asummable

Based on an example due to Gabelman [32], Crama and Hammer proposed in the proof of [22, Theorem 9.15] an example of a 9-variable 2-asummable positive Boolean function f that is not threshold. From this function we can derive a split graph $G = (V, E)$ on 71 vertices, as follows. Let $V = K \cup I$ where $K = \{v_1, \dots, v_9\}$ is a clique and $I = V(G) - K$ is an independent set. To define the edges between K and I , we first associate a non-negative integer weight to each vertex, as follows: $w(v_1) = 14$, $w(v_2) = 18$, $w(v_3) = 24$, $w(v_4) = 26$, $w(v_5) = 27$, $w(v_6) = 30$, $w(v_7) = 31$, $w(v_8) = 36$, $w(v_9) = 37$, and $w(v) = 0$ for all $v \in I$. Let \mathcal{S} be the set of all subsets S of K such that $w(S) \geq 82$ and let $S_1 = \{v_1, v_6, v_9\}$, $S_2 = \{v_2, v_5, v_8\}$, and $S_3 = \{v_3, v_4, v_7\}$. (Note that $w(S_i) = 81$ for all $i \in [3]$.) Let \mathcal{H} be the hypergraph with vertex set K and hyperedge set given by the inclusion-wise minimal sets in $\mathcal{S} \cup \{S_1, S_2, S_3\}$. It can be verified that \mathcal{H} has precisely 62 hyperedges (including S_1 , S_2 , and S_3).⁴ The edges of G between vertices of I and K are defined so that set of the neighborhoods of the 62 vertices of I is exactly the set of hyperedges of \mathcal{H} .

To show that G is not CD, it suffices, by Proposition 3.4, to show that the cutset hypergraph is not threshold. In the proof of Theorem 9.15 in [22] it is shown that the function f is not threshold, by showing that f is 3-summable. This corresponds to the fact that the cutset hypergraph of G is 3-summable, as can be observed by noticing that condition (2.1) is satisfied for $k = r = 3$ and for the sets $A_i = S_i$ for all $i \in [3]$ and $B_1 = \{v_1, v_7, v_8\}$, $B_2 = \{v_2, v_4, v_9\}$, and $B_3 = \{v_3, v_5, v_6\}$. On the other hand, the fact that f is 2-asummable implies that the cutset hypergraph of G is 2-asummable.

⁴The following is the list of sets (omitting commas and brackets) of indices of the elements of the 62 inclusion-wise minimal hyperedges of \mathcal{H} : 169, 179, 189, 258, 259, 268, 269, 278, 279, 289, 347, 348, 349, 357, 358, 359, 367, 368, 369, 378, 379, 389, 456, 457, 458, 459, 467, 468, 469, 478, 479, 489, 567, 568, 569, 578, 579, 589, 678, 679, 689, 789, 1234, 1235, 1236, 1237, 1238, 1239, 1245, 1246, 1247, 1248, 1249, 1256, 1257, 1267, 1345, 1346, 1356, 2345, 2346, 2356.