

# Tridiagonal pairs of $q$ -Racah type, the Bockting operator $\psi$ , and $L$ -operators for $U_q(L(\mathfrak{sl}_2))$

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## Abstract

We describe the Bockting operator  $\psi$  for a tridiagonal pair of  $q$ -Racah type, in terms of a certain  $L$ -operator for the quantum loop algebra  $U_q(L(\mathfrak{sl}_2))$ .

*Keywords:* Bockting operator; tridiagonal pair; Leonard pair.

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## 1 Introduction

In the theory of quantum groups there exists the concept of an  $L$ -operator; this was introduced in [20] to obtain solutions for the Yang-Baxter equation. In linear algebra there exists the concept of a tridiagonal pair; this was introduced in [13] to describe the irreducible modules for the subconstituent algebra of a  $Q$ -polynomial distance-regular graph. Recently some authors have connected the two concepts. In [1], [4] Pascal Baseilhac and Kozo Koizumi use  $L$ -operators for the quantum loop algebra  $U_q(L(\mathfrak{sl}_2))$  to construct a family of finite-dimensional modules for the  $q$ -Onsager algebra  $\mathcal{O}_q$ ; see [2, 3, 5, ?] for related work. A finite-dimensional irreducible  $\mathcal{O}_q$ -module is essentially the same thing as a tridiagonal pair of  $q$ -Racah type [?, Section 12], [23, Section 3]. In [22, Section 9], Kei Miki uses similar  $L$ -operators to describe how  $U_q(L(\mathfrak{sl}_2))$  is related to the  $q$ -tetrahedron algebra  $\boxtimes_q$ . A finite-dimensional irreducible  $\boxtimes_q$ -module is essentially the same thing as a tridiagonal pair of  $q$ -geometric type [16, Theorem 2.7], [14, Theorems 10.3, 10.4]. Following Baseilhac, Koizumi, and Miki, in the present paper we use  $L$ -operators for  $U_q(L(\mathfrak{sl}_2))$  to describe the Bockting operator  $\psi$  associated with a tridiagonal pair of  $q$ -Racah type. Before going into detail, we recall some notation and basic concepts. Throughout this paper

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$\mathbb{F}$  denotes a field. Let  $V$  denote a vector space over  $\mathbb{F}$  with finite positive dimension. For an  $\mathbb{F}$ -linear map  $A : V \rightarrow V$  and a subspace  $W \subseteq V$ , we say that  $W$  is an *eigenspace* of  $A$  whenever  $W \neq 0$  and there exists  $\theta \in \mathbb{F}$  such that  $W = \{v \in V \mid Av = \theta v\}$ ; in this case  $\theta$  is called the *eigenvalue* of  $A$  associated with  $W$ . We say that  $A$  is *diagonalizable* whenever  $V$  is spanned by the eigenspaces of  $A$ .

**Definition 1.1.** (See [13, Definition 1.1].) Let  $V$  denote a vector space over  $\mathbb{F}$  with finite positive dimension. By a *tridiagonal pair* (or *TD pair*) on  $V$  we mean an ordered pair of  $\mathbb{F}$ -linear maps  $A : V \rightarrow V$  and  $A^* : V \rightarrow V$  that satisfy the following four conditions:

- (i) Each of  $A, A^*$  is diagonalizable.
- (ii) There exists an ordering  $\{V_i\}_{i=0}^d$  of the eigenspaces of  $A$  such that

$$A^*V_i \subseteq V_{i-1} + V_i + V_{i+1} \quad (0 \leq i \leq d), \quad (1.1)$$

where  $V_{-1} = 0$  and  $V_{d+1} = 0$ .

- (iii) There exists an ordering  $\{V_i^*\}_{i=0}^\delta$  of the eigenspaces of  $A^*$  such that

$$AV_i^* \subseteq V_{i-1}^* + V_i^* + V_{i+1}^* \quad (0 \leq i \leq \delta), \quad (1.2)$$

where  $V_{-1}^* = 0$  and  $V_{\delta+1}^* = 0$ .

- (iv) There does not exist a subspace  $W \subseteq V$  such that  $AW \subseteq W$ ,  $A^*W \subseteq W$ ,  $W \neq 0$ ,  $W \neq V$ .

We refer the reader to [12, 13, 17] for background on TD pairs, and here mention only a few essential points. Let  $A, A^*$  denote a TD pair on  $V$ , as in Definition 1.1. By [13, Lemma 4.5] the integers  $d$  and  $\delta$  from (1.1) and (1.2) are equal; we call this common value the *diameter* of  $A, A^*$ . An ordering of the eigenspaces for  $A$  (resp.  $A^*$ ) is called *standard* whenever it satisfies (1.1) (resp. (1.2)). Let  $\{V_i\}_{i=0}^d$  denote a standard ordering of the eigenspaces of  $A$ . By [13, Lemma 2.4] the ordering  $\{V_{d-i}\}_{i=0}^d$  is standard and no further ordering is standard. A similar result holds for the eigenspaces of  $A^*$ . Until the end of this section fix a standard ordering  $\{V_i\}_{i=0}^d$  (resp.  $\{V_i^*\}_{i=0}^d$ ) of the eigenspaces for  $A$  (resp.  $A^*$ ). For  $0 \leq i \leq d$  let  $\theta_i$  (resp.  $\theta_i^*$ ) denote the eigenvalue of  $A$  (resp.  $A^*$ ) for the eigenspace  $V_i$  (resp.  $V_i^*$ ). By construction  $\{\theta_i\}_{i=0}^d$  are mutually distinct and contained in  $\mathbb{F}$ . Moreover  $\{\theta_i^*\}_{i=0}^d$  are mutually distinct and contained in  $\mathbb{F}$ . By [13, Theorem 11.1] the expressions

$$\frac{\theta_{i-2} - \theta_{i+1}}{\theta_{i-1} - \theta_i} \quad \frac{\theta_{i-2}^* - \theta_{i+1}^*}{\theta_{i-1}^* - \theta_i^*}$$

are equal and independent of  $i$  for  $2 \leq i \leq d-1$ . For this constraint the solutions can be given in closed form [13, Theorem 11.2]. The “most general” solution is called  $q$ -Racah, and will be described shortly.

We now recall the split decomposition [13, Section 4]. For  $0 \leq i \leq d$  define

$$U_i = (V_0^* + V_1^* + \cdots + V_i^*) \cap (V_0 + V_1 + \cdots + V_{d-i}).$$

For notational convenience define  $U_{-1} = 0$  and  $U_{d+1} = 0$ . By [13, Theorem 4.6] the sum  $V = \sum_{i=0}^d U_i$  is direct. By [13, Theorem 4.6] both

$$\begin{aligned} U_0 + U_1 + \cdots + U_i &= V_0^* + V_1^* + \cdots + V_i^*, \\ U_i + U_{i+1} + \cdots + U_d &= V_0 + V_1 + \cdots + V_{d-i} \end{aligned}$$

for  $0 \leq i \leq d$ . Let  $I : V \rightarrow V$  denote the identity map. By [13, Theorem 4.6] both

$$(A - \theta_{d-i}I)U_i \subseteq U_{i+1}, \quad (A^* - \theta_i^*I)U_i \subseteq U_{i-1} \quad (1.3)$$

for  $0 \leq i \leq d$ .

We now describe the  $q$ -Racah case. Pick a nonzero  $q \in \mathbb{F}$  such that  $q^4 \neq 1$ . We say that  $A, A^*$  has  $q$ -Racah type whenever there exist nonzero  $a, b \in \mathbb{F}$  such that both

$$\theta_i = aq^{2i-d} + a^{-1}q^{d-2i}, \quad \theta_i^* = bq^{2i-d} + b^{-1}q^{d-2i} \quad (1.4)$$

for  $0 \leq i \leq d$ . For the rest of this section assume that  $A, A^*$  has  $q$ -Racah type. For  $1 \leq i \leq d$  we have  $q^{2i} \neq 1$ ; otherwise  $\theta_i = \theta_0$ . Define an  $\mathbb{F}$ -linear map  $K : V \rightarrow V$  such that for  $0 \leq i \leq d$ ,  $U_i$  is an eigenspace of  $K$  with eigenvalue  $q^{d-2i}$ . Thus

$$(K - q^{d-2i}I)U_i = 0 \quad (0 \leq i \leq d). \quad (1.5)$$

Note that  $K$  is invertible. For  $0 \leq i \leq d$  the following holds on  $U_i$ :

$$aK + a^{-1}K^{-1} = \theta_{d-i}I. \quad (1.6)$$

Define an  $\mathbb{F}$ -linear map  $R : V \rightarrow V$  such that for  $0 \leq i \leq d$ ,  $R$  acts on  $U_i$  as  $A - \theta_{d-i}I$ . By (1.6),

$$A = aK + a^{-1}K^{-1} + R. \quad (1.7)$$

By the equation on the left in (1.3),

$$RU_i \subseteq U_{i+1} \quad (0 \leq i \leq d). \quad (1.8)$$

We now recall the Bockting operator  $\psi$ . By [8, Lemma 5.7] there exists a unique  $\mathbb{F}$ -linear map  $\psi : V \rightarrow V$  such that both

$$\psi U_i \subseteq U_{i-1} \quad (0 \leq i \leq d), \quad (1.9)$$

$$\psi R - R\psi = (q - q^{-1})(K - K^{-1}). \quad (1.10)$$

The known properties of  $\psi$  are described in [7, 8, ?]. Suppose we are given  $A, A^*, R, K$  in matrix form, and wish to obtain  $\psi$  in matrix form. This can be done using (1.8), (1.9), (1.10) and induction on  $i$ . The calculation can be tedious, so one desires a more explicit description of  $\psi$ . In the present paper we give an explicit description of  $\psi$ , in terms of a certain  $L$ -operator for  $U_q(L(\mathfrak{sl}_2))$ . According to this description,  $\psi$  is equal to  $-a$  times the ratio of two components for the  $L$ -operator. Theorem 5.4 is our main result.

The paper is organized as follows. In Section 2 we review the algebra  $U_q(L(\mathfrak{sl}_2))$  in its Chevalley presentation. In Section 3 we recall the equitable presentation for  $U_q(L(\mathfrak{sl}_2))$ . In Section 4 we discuss some  $L$ -operators for  $U_q(L(\mathfrak{sl}_2))$ . In Section 5 we use these  $L$ -operators to describe  $\psi$ .

## 2 The quantum loop algebra $U_q(L(\mathfrak{sl}_2))$

Recall the integers  $\mathbb{Z} = \{0, \pm 1, \pm 2, \dots\}$  and natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}$ . We will be discussing algebras. An algebra is meant to be associative and have a 1. Recall the field  $\mathbb{F}$ . Until the end of Section 4, fix a nonzero  $q \in \mathbb{F}$  such that  $q^2 \neq 1$ . Define

$$[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}} \quad n \in \mathbb{Z}.$$

All tensor products are meant to be over  $\mathbb{F}$ .

**Definition 2.1.** (See [10, Section 3.3].) Let  $U_q(L(\mathfrak{sl}_2))$  denote the  $\mathbb{F}$ -algebra with generators  $E_i, F_i, K_i^{\pm 1}$  ( $i \in \{0, 1\}$ ) and relations

$$\begin{aligned} K_i K_i^{-1} &= 1, & K_i^{-1} K_i &= 1, \\ K_0 K_1 &= 1, & K_1 K_0 &= 1, \\ K_i E_i &= q^2 E_i K_i, & K_i F_i &= q^{-2} F_i K_i, \\ K_i E_j &= q^{-2} E_j K_i, & K_i F_j &= q^2 F_j K_i, & i \neq j, \\ E_i F_j - F_j E_i &= \delta_{i,j} \frac{K_i - K_i^{-1}}{q - q^{-1}}, \\ E_i^3 E_j - [3]_q E_i^2 E_j E_i + [3]_q E_i E_j E_i^2 - E_j E_i^3 &= 0, & i \neq j, \\ F_i^3 F_j - [3]_q F_i^2 F_j F_i + [3]_q F_i F_j F_i^2 - F_j F_i^3 &= 0, & i \neq j. \end{aligned}$$

We call  $E_i, F_i, K_i^{\pm 1}$  the Chevalley generators for  $U_q(L(\mathfrak{sl}_2))$ .

**Lemma 2.2.** (See [18, p. 35].) We turn  $U_q(L(\mathfrak{sl}_2))$  into a Hopf algebra as follows. The coproduct  $\Delta$  satisfies

$$\begin{aligned} \Delta(K_i) &= K_i \otimes K_i, & \Delta(K_i^{-1}) &= K_i^{-1} \otimes K_i^{-1}, \\ \Delta(E_i) &= E_i \otimes 1 + K_i \otimes E_i, & \Delta(F_i) &= 1 \otimes F_i + F_i \otimes K_i^{-1}. \end{aligned}$$

The counit  $\varepsilon$  satisfies

$$\varepsilon(K_i) = 1, \quad \varepsilon(K_i^{-1}) = 1, \quad \varepsilon(E_i) = 0, \quad \varepsilon(F_i) = 0.$$

The antipode  $S$  satisfies

$$S(K_i) = K_i^{-1}, \quad S(K_i^{-1}) = K_i, \quad S(E_i) = -K_i^{-1} E_i, \quad S(F_i) = -F_i K_i.$$

We now discuss the  $U_q(L(\mathfrak{sl}_2))$ -modules.

**Lemma 2.3.** (See [10, Section 4].) There exists a family of  $U_q(L(\mathfrak{sl}_2))$ -modules

$$\mathbf{V}(d, t) \quad 0 \neq d \in \mathbb{N}, \quad 0 \neq t \in \mathbb{F} \quad (2.1)$$

with this property:  $\mathbf{V}(d, t)$  has a basis  $\{v_i\}_{i=0}^d$  such that

$$\begin{aligned} K_1 v_i &= q^{d-2i} v_i & (0 \leq i \leq d), \\ E_1 v_i &= [d-i+1]_q v_{i-1} & (1 \leq i \leq d), \quad E_1 v_0 = 0, \\ F_1 v_i &= [i+1]_q v_{i+1} & (0 \leq i \leq d-1), \quad F_1 v_d = 0, \\ K_0 v_i &= q^{2i-d} v_i & (0 \leq i \leq d), \\ E_0 v_i &= t[i+1]_q v_{i+1} & (0 \leq i \leq d-1), \quad E_0 v_d = 0, \\ F_0 v_i &= t^{-1}[d-i+1]_q v_{i-1} & (1 \leq i \leq d), \quad F_0 v_0 = 0. \end{aligned}$$

The module  $\mathbf{V}(d, t)$  is irreducible provided that  $q^{2i} \neq 1$  for  $1 \leq i \leq d$ .

**Definition 2.4.** Referring to Lemma 2.3, we call  $\mathbf{V}(d, t)$  an *evaluation module* for  $U_q(L(\mathfrak{sl}_2))$ . We call  $d$  the *diameter*. We call  $t$  the *evaluation parameter*.

**Example 2.5.** For  $0 \neq t \in \mathbb{F}$  the  $U_q(L(\mathfrak{sl}_2))$ -module  $\mathbf{V}(1, t)$  is described as follows. With respect to the basis  $v_0, v_1$  from Lemma 2.3, the matrices representing the Chevalley generators are

$$\begin{aligned} E_1 &: \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, & F_1 &: \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, & K_1 &: \begin{pmatrix} q & 0 \\ 0 & q^{-1} \end{pmatrix}, \\ E_0 &: \begin{pmatrix} 0 & 0 \\ t & 0 \end{pmatrix}, & F_0 &: \begin{pmatrix} 0 & t^{-1} \\ 0 & 0 \end{pmatrix}, & K_0 &: \begin{pmatrix} q^{-1} & 0 \\ 0 & q \end{pmatrix}. \end{aligned}$$

**Lemma 2.6.** (See [19, p. 58].) *Let  $U$  and  $V$  denote  $U_q(L(\mathfrak{sl}_2))$ -modules. Then  $U \otimes V$  becomes a  $U_q(L(\mathfrak{sl}_2))$ -module as follows. For  $u \in U$  and  $v \in V$ ,*

$$\begin{aligned} K_i(u \otimes v) &= K_i(u) \otimes K_i(v), \\ K_i^{-1}(u \otimes v) &= K_i^{-1}(u) \otimes K_i^{-1}(v), \\ E_i(u \otimes v) &= E_i(u) \otimes v + K_i(u) \otimes E_i(v), \\ F_i(u \otimes v) &= u \otimes F_i(v) + F_i(u) \otimes K_i^{-1}(v). \end{aligned}$$

**Definition 2.7.** (See [11, p. 110].) Up to isomorphism, there exists a unique  $U_q(L(\mathfrak{sl}_2))$ -module of dimension 1 on which each  $u \in U_q(L(\mathfrak{sl}_2))$  acts as  $\varepsilon(u)I$ , where  $\varepsilon$  is from Lemma 2.2. This  $U_q(L(\mathfrak{sl}_2))$ -module is said to be *trivial*.

**Proposition 2.8.** (See [22, Theorem 3.2].) *Assume that  $\mathbb{F}$  is algebraically closed with characteristic zero, and  $q$  is not a root of unity. Let  $V$  denote a nontrivial finite-dimensional irreducible  $U_q(L(\mathfrak{sl}_2))$ -module on which each eigenvalue of  $K_1$  is an integral power of  $q$ . Then  $V$  is isomorphic to a tensor product of evaluation  $U_q(L(\mathfrak{sl}_2))$ -modules.*

### 3 The equitable presentation for $U_q(L(\mathfrak{sl}_2))$

In this section we recall the equitable presentation for  $U_q(L(\mathfrak{sl}_2))$ . Let  $\mathbb{Z}_4 = \mathbb{Z}/4\mathbb{Z}$  denote the cyclic group of order 4. In a moment we will discuss some objects  $X_{ij}$ . The subscripts  $i, j$  are meant to be in  $\mathbb{Z}_4$ .

**Lemma 3.1.** (See [15, Theorem 2.1], [22, Proposition 4.2].) *The algebra  $U_q(L(\mathfrak{sl}_2))$  has a presentation by generators*

$$X_{01}, \quad X_{12}, \quad X_{23}, \quad X_{30}, \quad X_{13}, \quad X_{31} \tag{3.1}$$

*and the following relations:*

$$\begin{aligned} X_{13}X_{31} &= 1, \quad X_{31}X_{13} = 1, \quad \frac{qX_{01}X_{12} - q^{-1}X_{12}X_{01}}{q - q^{-1}} = 1, \quad \frac{qX_{12}X_{23} - q^{-1}X_{23}X_{12}}{q - q^{-1}} = 1, \\ \frac{qX_{23}X_{30} - q^{-1}X_{30}X_{23}}{q - q^{-1}} &= 1, \quad \frac{qX_{30}X_{01} - q^{-1}X_{01}X_{30}}{q - q^{-1}} = 1, \quad \frac{qX_{01}X_{13} - q^{-1}X_{13}X_{01}}{q - q^{-1}} = 1, \\ \frac{qX_{31}X_{12} - q^{-1}X_{12}X_{31}}{q - q^{-1}} &= 1, \quad \frac{qX_{23}X_{31} - q^{-1}X_{31}X_{23}}{q - q^{-1}} = 1, \quad \frac{qX_{13}X_{30} - q^{-1}X_{30}X_{13}}{q - q^{-1}} = 1, \\ X_{i,i+1}^3 X_{i+2,i+3} &- [3]_q X_{i,i+1}^2 X_{i+2,i+3} X_{i,i+1} + [3]_q X_{i,i+1} X_{i+2,i+3} X_{i,i+1}^2 - X_{i+2,i+3} X_{i,i+1}^3 = 0. \end{aligned}$$

An isomorphism with the presentation in Definition 2.1 sends

$$\begin{aligned} X_{01} &\mapsto K_0 + q(q - q^{-1})K_0F_0, & X_{12} &\mapsto K_1 - (q - q^{-1})E_1, \\ X_{23} &\mapsto K_1 + q(q - q^{-1})K_1F_1, & X_{30} &\mapsto K_0 - (q - q^{-1})E_0, \\ X_{13} &\mapsto K_1, & X_{31} &\mapsto K_0. \end{aligned}$$

The inverse isomorphism sends

$$\begin{aligned} E_1 &\mapsto (X_{13} - X_{12})(q - q^{-1})^{-1}, & E_0 &\mapsto (X_{31} - X_{30})(q - q^{-1})^{-1}, \\ F_1 &\mapsto (X_{31}X_{23} - 1)q^{-1}(q - q^{-1})^{-1}, & F_0 &\mapsto (X_{13}X_{01} - 1)q^{-1}(q - q^{-1})^{-1}, \\ K_1 &\mapsto X_{13}, & K_0 &\mapsto X_{31}. \end{aligned}$$

**Note 3.2.** For notational convenience, we identify the copy of  $U_q(L(\mathfrak{sl}_2))$  given in Definition 2.1 with the copy given in Lemma 3.1, via the isomorphism given in Lemma 3.1.

**Definition 3.3.** Referring to Lemma 3.1, we call the generators (3.1) the *equitable generators* for  $U_q(L(\mathfrak{sl}_2))$ .

**Lemma 3.4.** (See [24, Theorem 3.4].) *From the equitable point of view the Hopf algebra  $U_q(L(\mathfrak{sl}_2))$  looks as follows. The coproduct  $\Delta$  satisfies*

$$\begin{aligned} \Delta(X_{13}) &= X_{13} \otimes X_{13}, & \Delta(X_{31}) &= X_{31} \otimes X_{31}, \\ \Delta(X_{01}) &= (X_{01} - X_{31}) \otimes 1 + X_{31} \otimes X_{01}, & \Delta(X_{12}) &= (X_{12} - X_{13}) \otimes 1 + X_{13} \otimes X_{12}, \\ \Delta(X_{23}) &= (X_{23} - X_{13}) \otimes 1 + X_{13} \otimes X_{23}, & \Delta(X_{30}) &= (X_{30} - X_{31}) \otimes 1 + X_{31} \otimes X_{30}. \end{aligned}$$

The counit  $\varepsilon$  satisfies

$$\begin{aligned} \varepsilon(X_{13}) &= 1, & \varepsilon(X_{31}) &= 1, & \varepsilon(X_{01}) &= 1, \\ \varepsilon(X_{12}) &= 1, & \varepsilon(X_{23}) &= 1, & \varepsilon(X_{30}) &= 1. \end{aligned}$$

The antipode  $S$  satisfies

$$\begin{aligned} S(X_{31}) &= X_{13}, & S(X_{13}) &= X_{31}, \\ S(X_{01}) &= 1 + X_{13} - X_{13}X_{01}, & S(X_{12}) &= 1 + X_{31} - X_{31}X_{12}, \\ S(X_{23}) &= 1 + X_{31} - X_{31}X_{23}, & S(X_{30}) &= 1 + X_{13} - X_{13}X_{30}. \end{aligned}$$

## 4 Some $L$ -operators for $U_q(L(\mathfrak{sl}_2))$

In this section we recall some  $L$ -operators for  $U_q(L(\mathfrak{sl}_2))$ , and describe their basic properties.

We recall some notation. Let  $\Delta$  denote the coproduct for a Hopf algebra  $H$ . Then the opposite coproduct  $\Delta^{\text{op}}$  is the composition

$$\Delta^{\text{op}} : H \xrightarrow[\Delta]{} H \otimes H \xrightarrow[r \otimes s \mapsto s \otimes r]{} H \otimes H.$$

**Definition 4.1.** (See [22, Section 9.1].) Let  $V$  denote a  $U_q(L(\mathfrak{sl}_2))$ -module and  $0 \neq t \in \mathbb{F}$ . Consider an  $\mathbb{F}$ -linear map

$$L : V \otimes \mathbf{V}(1, t) \rightarrow V \otimes \mathbf{V}(1, t).$$

We call this map an  $L$ -operator for  $V$  with parameter  $t$  whenever the following diagram commutes for all  $u \in U_q(L(\mathfrak{sl}_2))$ :

$$\begin{array}{ccc} V \otimes \mathbf{V}(1, t) & \xrightarrow{\Delta(u)} & V \otimes \mathbf{V}(1, t) \\ L \downarrow & & \downarrow L \\ V \otimes \mathbf{V}(1, t) & \xrightarrow{\Delta^{\circ P}(u)} & V \otimes \mathbf{V}(1, t) \end{array}$$

**Definition 4.2.** (See [22, Section 9.1].) Let  $V$  denote a  $U_q(L(\mathfrak{sl}_2))$ -module and  $0 \neq t \in \mathbb{F}$ . Consider any  $\mathbb{F}$ -linear map

$$L : V \otimes \mathbf{V}(1, t) \rightarrow V \otimes \mathbf{V}(1, t). \quad (4.1)$$

For  $r, s \in \{0, 1\}$  define an  $\mathbb{F}$ -linear map  $L_{rs} : V \rightarrow V$  such that for  $v \in V$ ,

$$L(v \otimes v_0) = L_{00}(v) \otimes v_0 + L_{10}(v) \otimes v_1, \quad (4.2)$$

$$L(v \otimes v_1) = L_{01}(v) \otimes v_0 + L_{11}(v) \otimes v_1. \quad (4.3)$$

Here  $v_0, v_1$  is the basis for  $\mathbf{V}(1, t)$  from Lemma 2.3.

**Lemma 4.3.** Referring to Definition 4.2, the map (4.1) is an  $L$ -operator for  $V$  with parameter  $t$  if and only if the following equations hold on  $V$ :

$$\begin{aligned} K_1 L_{00} &= L_{00} K_1, & K_1 L_{01} &= q^{-2} L_{01} K_1, \\ K_1 L_{10} &= q^2 L_{10} K_1, & K_1 L_{11} &= L_{11} K_1; \end{aligned}$$

$$\begin{aligned} L_{00} E_1 - q E_1 L_{00} &= L_{10}, & L_{01} E_1 - q E_1 L_{01} &= L_{11} - L_{00} K_1, \\ L_{10} E_1 - q^{-1} E_1 L_{10} &= 0, & L_{11} E_1 - q^{-1} E_1 L_{11} &= -L_{10} K_1; \end{aligned}$$

$$\begin{aligned} F_1 L_{00} - q^{-1} L_{00} F_1 &= L_{01}, & F_1 L_{01} - q L_{01} F_1 &= 0, \\ F_1 L_{10} - q^{-1} L_{10} F_1 &= L_{11} - K_0 L_{00}, & F_1 L_{11} - q L_{11} F_1 &= -K_0 L_{01}; \end{aligned}$$

$$\begin{aligned} K_0 L_{00} &= L_{00} K_0, & K_0 L_{01} &= q^2 L_{01} K_0, \\ K_0 L_{10} &= q^{-2} L_{10} K_0, & K_0 L_{11} &= L_{11} K_0; \end{aligned}$$

$$\begin{aligned} L_{00} E_0 - q^{-1} E_0 L_{00} &= -t L_{01} K_0, & L_{01} E_0 - q^{-1} E_0 L_{01} &= 0, \\ L_{10} E_0 - q E_0 L_{10} &= t L_{00} - t L_{11} K_0, & L_{11} E_0 - q E_0 L_{11} &= t L_{01}; \end{aligned}$$

$$\begin{aligned} F_0 L_{00} - q L_{00} F_0 &= -t^{-1} K_1 L_{10}, & F_0 L_{01} - q^{-1} L_{01} F_0 &= t^{-1} L_{00} - t^{-1} K_1 L_{11}, \\ F_0 L_{10} - q L_{10} F_0 &= 0, & F_0 L_{11} - q^{-1} L_{11} F_0 &= t^{-1} L_{10}. \end{aligned}$$

*Proof.* This is routinely checked. □

**Example 4.4.** (See [21, Appendix], [22, Proposition 9.2].) Referring to Definition 4.2, assume that  $V$  is an evaluation module  $\mathbf{V}(d, \mu)$  such that  $q^{2i} \neq 1$  for  $1 \leq i \leq d$ . Consider the matrices that represent the  $L_{rs}$  with respect to the basis  $\{v_i\}_{i=0}^d$  for  $\mathbf{V}(d, \mu)$  from Lemma 2.3. Then the following are equivalent:

- (i) the map (4.1) is an  $L$ -operator for  $V$  with parameter  $t$ ;  
(ii) the matrix entries are given in the table below (all matrix entries not shown are zero):

operator	$(i, i-1)$ -entry	$(i, i)$ -entry	$(i-1, i)$ -entry
$L_{00}$	0	$\frac{q^{1-i}-\mu^{-1}tq^{i-d}}{q-q^{-1}}\xi$	0
$L_{01}$	$[i]_q q^{1-i}\xi$	0	0
$L_{10}$	0	0	$[d-i+1]_q q^{i-d}\mu^{-1}t\xi$
$L_{11}$	0	$\frac{q^{i-d+1}-\mu^{-1}tq^{-i}}{q-q^{-1}}\xi$	0

Here  $\xi \in \mathbb{F}$ .

**Lemma 4.5.** (See [22, Proposition 9.3].) *Let  $U$  and  $V$  denote  $U_q(L(\mathfrak{sl}_2))$ -modules, and consider the  $U_q(L(\mathfrak{sl}_2))$ -module  $U \otimes V$  from Lemma 2.6. Let  $0 \neq t \in \mathbb{F}$ . Suppose we are given  $L$ -operators for  $U$  and  $V$  with parameter  $t$ . Then there exists an  $L$ -operator for  $U \otimes V$  with parameter  $t$  such that for  $r, s \in \{0, 1\}$ ,*

$$L_{rs}(u \otimes v) = L_{r0}(u) \otimes L_{0s}(v) + L_{r1}(u) \otimes L_{1s}(v) \quad u \in U, \quad v \in V. \quad (4.4)$$

*Proof.* For  $r, s \in \{0, 1\}$  define an  $\mathbb{F}$ -linear map  $L_{rs} : U \otimes V \rightarrow U \otimes V$  that satisfies (4.4). Using (4.4) and Lemma 2.6 one checks that the  $L_{rs}$  satisfy the equations in Lemma 4.3. The result follows by Lemma 4.3.  $\square$

**Corollary 4.6.** *Adopt the notation and assumptions of Proposition 2.8. Then for  $0 \neq t \in \mathbb{F}$  there exists a nonzero  $L$ -operator for  $V$  with parameter  $t$ .*

*Proof.* By Proposition 2.8 along with Example 4.4 and Lemma 4.5.  $\square$

## 5 TD pairs and $L$ -operators

In Section 1 we discussed a TD pair  $A, A^*$  on  $V$ . We now return to this discussion, adopting the notation and assumptions that were in force at the end of Section 1. Recall the scalars  $q, a, b$  from (1.4). Recall the map  $K$  from above (1.5).

**Proposition 5.1.** (See [17, p. 103].) *Assume that  $\mathbb{F}$  is algebraically closed with characteristic zero, and  $q$  is not a root of unity. Then the vector space  $V$  becomes a  $U_q(L(\mathfrak{sl}_2))$ -module on which  $K = X_{31}$ ,  $K^{-1} = X_{13}$  and*

$$A = aX_{01} + a^{-1}X_{12}, \quad A^* = bX_{23} + b^{-1}X_{30}.$$

*Proof.* This is how [17, p. 103] looks from the equitable point of view.  $\square$

**Note 5.2.** The  $U_q(L(\mathfrak{sl}_2))$ -module structure from Proposition 5.1 is not unique in general.

We now investigate the  $U_q(L(\mathfrak{sl}_2))$ -module structure from Proposition 5.1. Recall the map  $R$  from above (1.7).

**Lemma 5.3.** *Assume that the vector space  $V$  becomes a  $U_q(L(\mathfrak{sl}_2))$ -module on which  $K = X_{31}$ ,  $K^{-1} = X_{13}$  and*

$$A = aX_{01} + a^{-1}X_{12}, \quad A^* = bX_{23} + b^{-1}X_{30}.$$

*On this module,*



(i)  $R$  looks as follows in the equitable presentation:

$$R = a(X_{01} - X_{31}) + a^{-1}(X_{12} - X_{13}). \quad (5.1)$$

(ii)  $R$  looks as follows in the Chevalley presentation:

$$R = (q - q^{-1})(aqK_0F_0 - a^{-1}E_1). \quad (5.2)$$

*Proof.* (i) In line (1.7) eliminate  $A, K, K^{-1}$  using the assumptions of the present lemma.  
(ii) Evaluate the right-hand side of (5.1) using the identifications from Lemma 3.1 and Note 3.2.  $\square$

We now present our main result. Recall the Bockting operator  $\psi$  from (1.9), (1.10).

**Theorem 5.4.** *Assume that the vector space  $V$  becomes a  $U_q(L(\mathfrak{sl}_2))$ -module on which  $K = X_{31}$ ,  $K^{-1} = X_{13}$  and*

$$A = aX_{01} + a^{-1}X_{12}, \quad A^* = bX_{23} + b^{-1}X_{30}.$$

*Consider an  $L$ -operator for  $V$  with parameter  $a^2$ . Then on  $V$ ,*

$$\psi = -a(L_{00})^{-1}L_{01} \quad (5.3)$$

*provided that  $L_{00}$  is invertible.*

*Proof.* Let  $\widehat{\psi}$  denote the expression on the right in (5.3). We show  $\psi = \widehat{\psi}$ . To do this, we show that  $\widehat{\psi}$  satisfies (1.9), (1.10). Concerning (1.9), by Lemma 4.3 the equation  $K_0\widehat{\psi} = q^2\widehat{\psi}K_0$  holds on  $V$ . By Lemma 3.1, Note 3.2, and the construction, we obtain  $K_0 = X_{31} = K$  on  $V$ . By these comments  $K\widehat{\psi} = q^2\widehat{\psi}K$  on  $V$ . By this and (1.5) we obtain  $\widehat{\psi}U_i \subseteq U_{i-1}$  for  $0 \leq i \leq d$ . So  $\widehat{\psi}$  satisfies (1.9). Next we show that  $\widehat{\psi}$  satisfies (1.10). Since  $L_{00}$  is invertible and  $K_0K_1 = 1$  it suffices to show that on  $V$ ,

$$L_{00}(\widehat{\psi}R - R\widehat{\psi}) = (q - q^{-1})L_{00}(K_0 - K_1). \quad (5.4)$$

By this and (5.2) it suffices to show that on  $V$ ,

$$aqL_{00}(\widehat{\psi}K_0F_0 - K_0F_0\widehat{\psi}) - a^{-1}L_{00}(\widehat{\psi}E_1 - E_1\widehat{\psi}) + L_{00}(K_1 - K_0) = 0. \quad (5.5)$$

We examine the terms in (5.5). By Lemma 4.3 and the construction, the following hold on  $V$ :

$$\begin{aligned} L_{00}\widehat{\psi}K_0F_0 &= -aL_{01}K_0F_0 \\ &= -aq^{-2}K_0L_{01}F_0 \\ &= -aq^{-1}K_0(F_0L_{01} - a^{-2}L_{00} + a^{-2}K_1L_{11}) \end{aligned}$$

and

$$\begin{aligned} L_{00}K_0F_0\widehat{\psi} &= K_0L_{00}F_0\widehat{\psi} \\ &= q^{-1}K_0(a^{-2}K_1L_{10} + F_0L_{00})\widehat{\psi} \\ &= q^{-1}K_0(a^{-2}K_1L_{10}\widehat{\psi} - aF_0L_{01}) \end{aligned}$$

and

$$\begin{aligned} L_{00}\hat{\psi}E_1 &= -aL_{01}E_1 \\ &= -a(qE_1L_{01} + L_{11} - L_{00}K_1) \\ &= -a(qE_1L_{01} + L_{11} - K_1L_{00}) \end{aligned}$$

and

$$\begin{aligned} L_{00}E_1\hat{\psi} &= (L_{10} + qE_1L_{00})\hat{\psi} \\ &= L_{10}\hat{\psi} - qaE_1L_{01} \end{aligned}$$

and

$$L_{00}K_1 = K_1L_{00}, \quad L_{00}K_0 = K_0L_{00}.$$

To verify (5.5), evaluate its left-hand side using the above comments and simplify the result using  $K_0K_1 = 1$ . The computation is routine, and omitted. We have shown that  $\hat{\psi}$  satisfies (1.10). The result follows.  $\square$

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